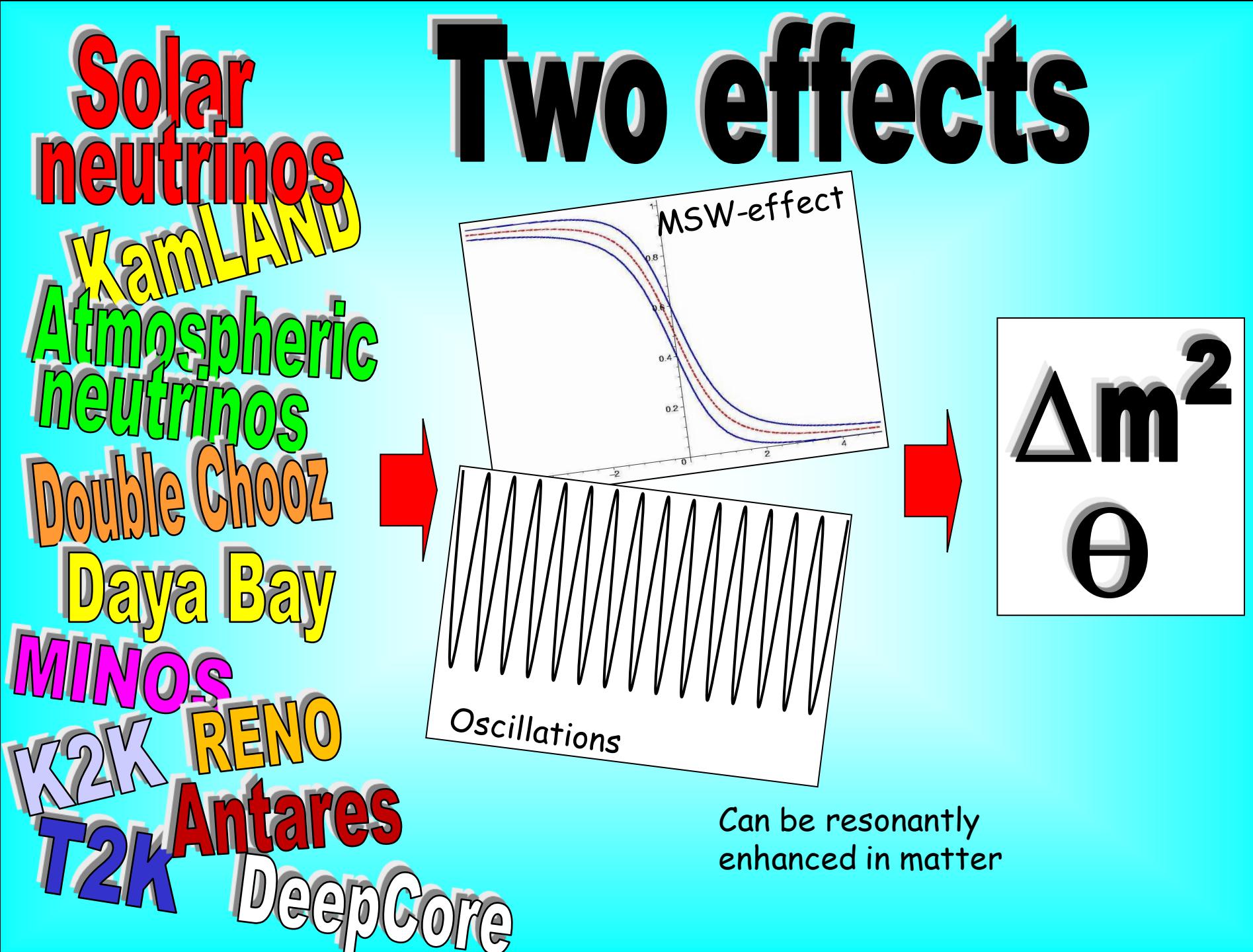


# **2.**

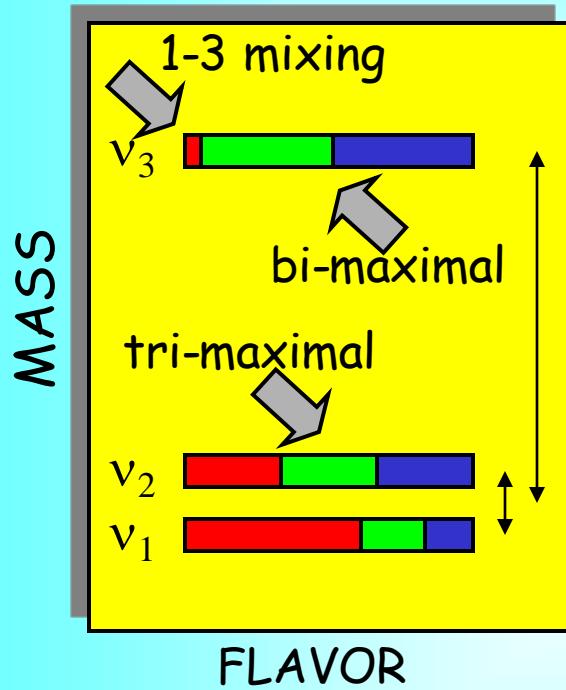
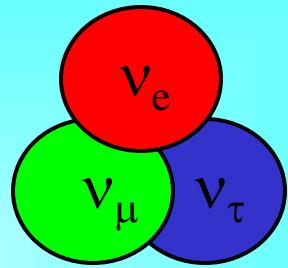
# **Determination of mass & mixing**





$\nu_e - \nu_e$	MSW-effect (HE) Av. oscillations (LE)	Dominant mode 1 - 2
$\nu_e - \nu_e$	Vacuum oscillations	1 - 2
$\nu_\mu - \nu_\mu$	$\nu_\mu - \nu_\tau$	$\sim$ Vacuum oscillations
$\nu_\mu - \nu_\mu$		1 - 3
$\nu_\mu - \nu_\mu$	$\nu_\mu - \nu_e$	$\sim$ Vacuum oscillations
$\nu_\mu - \nu_\mu$		1 - 3
$\nu_e - \nu_e$	Vacuum oscillations	1 - 3
$\nu_\mu - \nu_e$	$\nu_\mu - \nu_\mu$	$\sim$ Vacuum oscillations
		1 - 3

# Mixing & masses



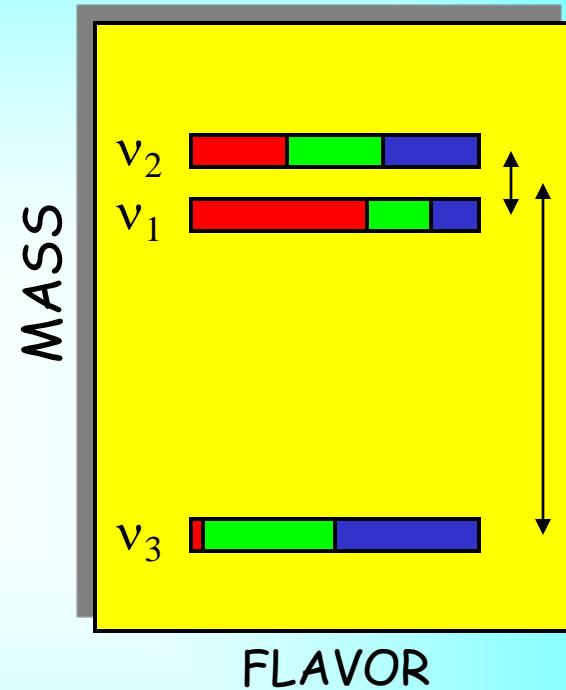
Normal mass hierarchy

Two large mixings

$$\Delta m^2_{32} = 2.3 \times 10^{-3} \text{ eV}^2$$

$$\Delta m^2_{21} = 8 \times 10^{-5} \text{ eV}^2$$

?



Inverted mass hierarchy

~ Tri-bimaximal mixing

Symmetry?

$\nu_\mu - \nu_\tau$  symmetry

Invariance under  
U, S transformations  
in the flavor basis

# Tri-bimaximal mixing

L. Wolfenstein

In the first approximation

$$U_{\text{tbm}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 & 0.15 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} & 0.62 \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} & 0.78 \end{pmatrix}$$

$\uparrow$        $\uparrow$   
 $v_3$  is bi-maximally mixed  
 $v_2$  is tri-maximally mixed

P. F. Harrison  
D. H. Perkins  
W. G. Scott

- maximal 2-3 mixing
  - zero 1-3 mixing
  - no CP-violation
- $\sin^2 \theta_{12} = 1/3$

$$U_{\text{tbm}} = U_{23}(\pi/4) U_{12}$$

Symmetry from mixing matrix

Uncertainty related  
to sign of 2-3 mixing:  
 $\theta_{23} = \pi/4 \rightarrow -\pi/4$

# Huge impact of small angle

theoretical  
implications

symmetry

atmospheric  
neutrinos

$U_{e3}$

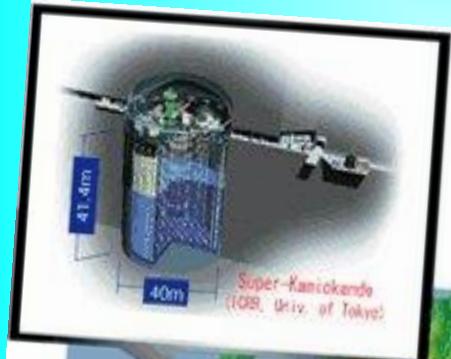
dominant factor  
for SN neutrinos

door to determination of  
CP-violation  
mass hierarchy

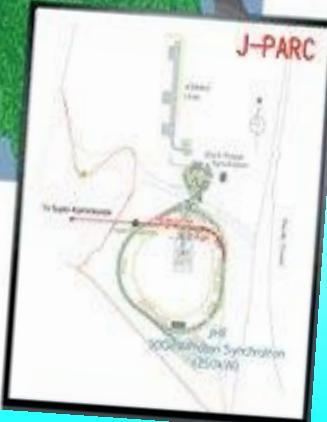
# Discovering $U_{e3}$

MINOS

Global fit  
Solar vs KamLAND



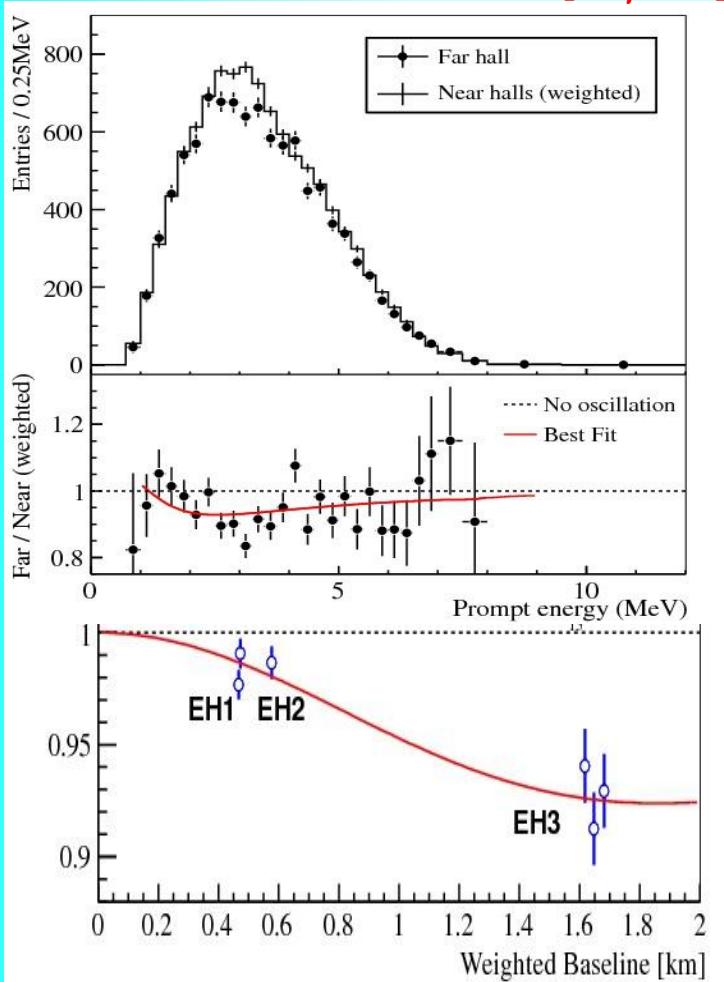
T2K



Double-CHOOZ

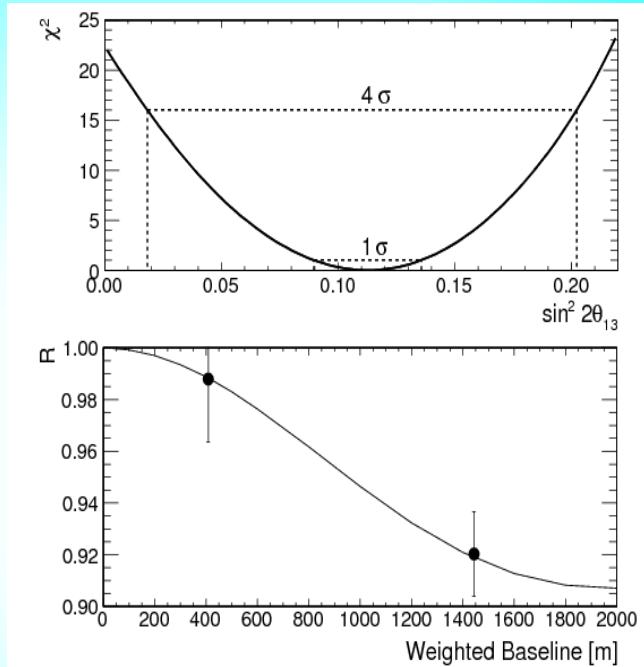
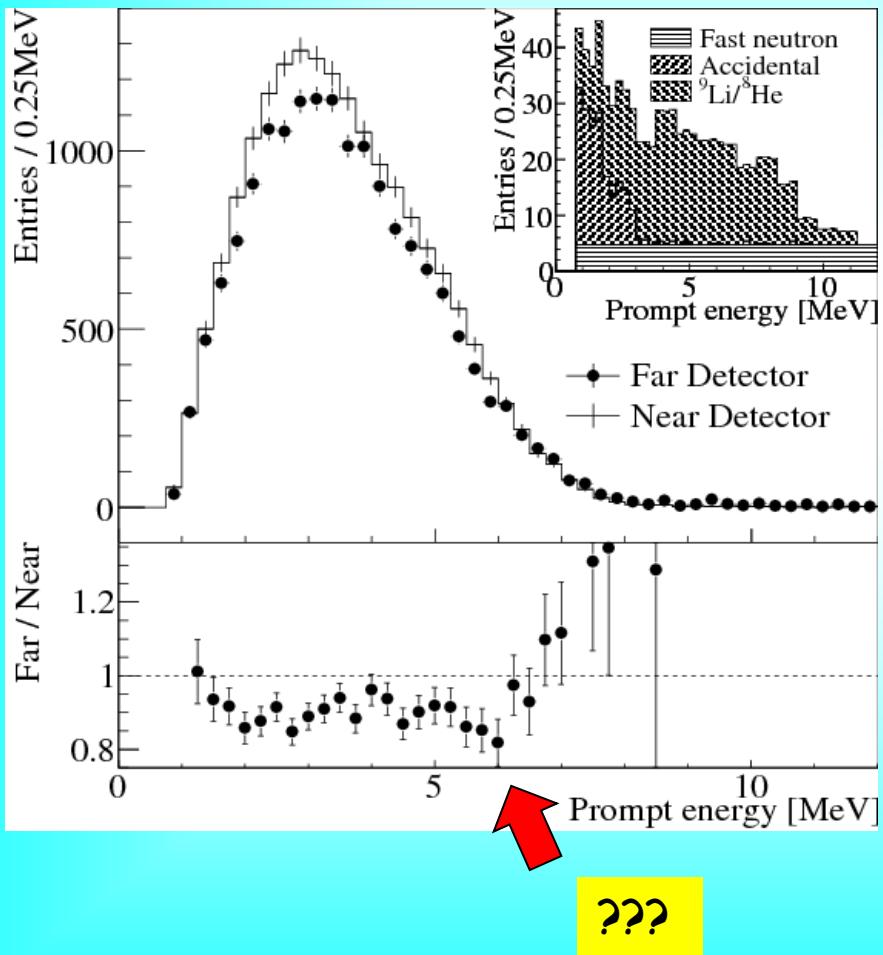
# Daya Bay

arXiv:1203.1669 [hep-ex]

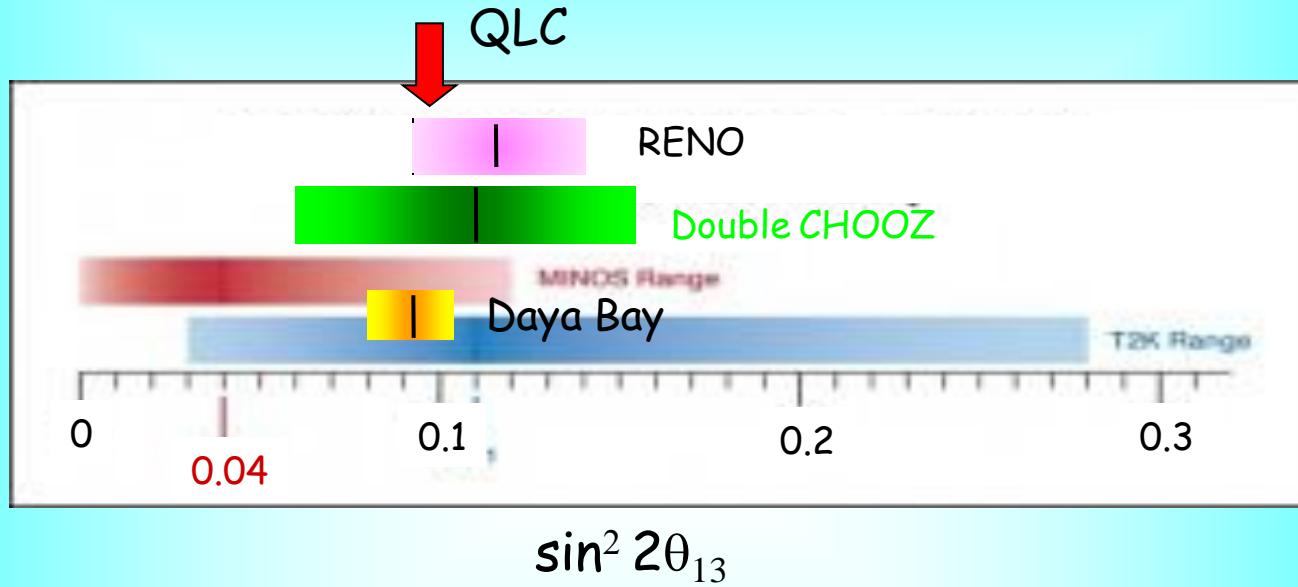


$W = 2.9 \text{ GW}$  (each of 6 reactor)  
AD (antineutrino detectors)  
EH (experimental halls)

# RENO



# Direct measurements of $\sin^2 2\theta_{13}$ mixing



Daya Bay:  $0.092 \pm 0.012$

RENO:  $0.116 \pm 0.024$

$> 6\sigma$  from 0

Important: Daya Bay, RENO and T2K  
(different energies, setups..) give the same value of the angle

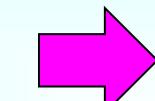
# Deviation of 2-3 mixing from maximal

$$d_{23} = \frac{1}{2} - \sin^2 \theta_{23}$$

the key to ( probe)  
understand the  
underlying physics



$\nu_\mu - \nu_\tau$  symmetry  
violation

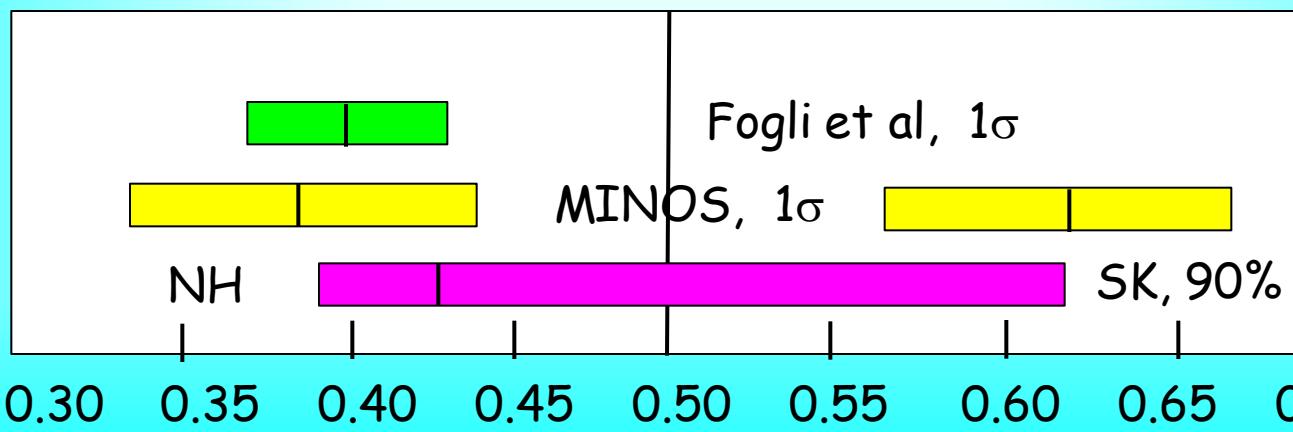


Connection to  
1-3 mixing



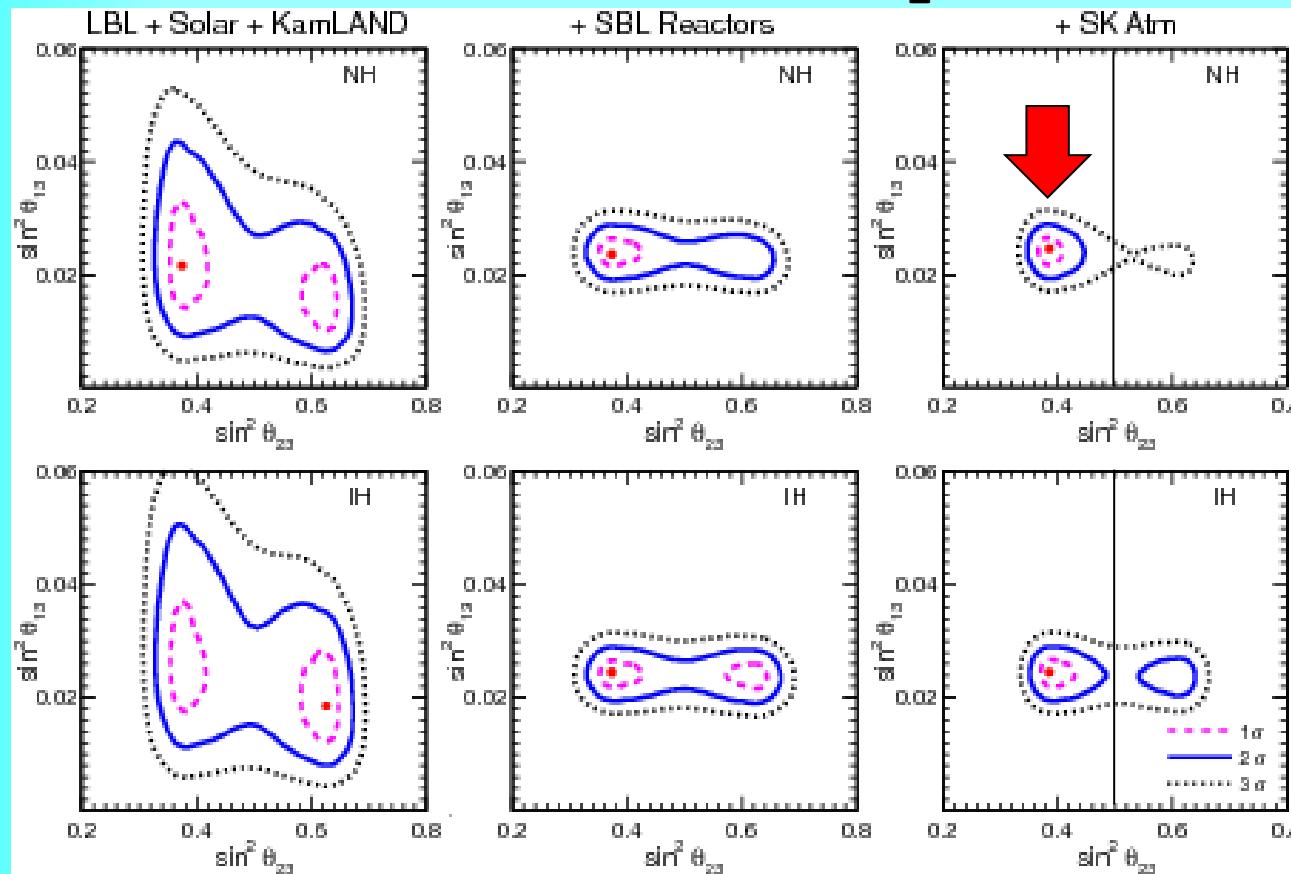
Quark -Lepton  
Complementarity

$$\theta_{23} \sim \pi/2 - V_{cb}$$



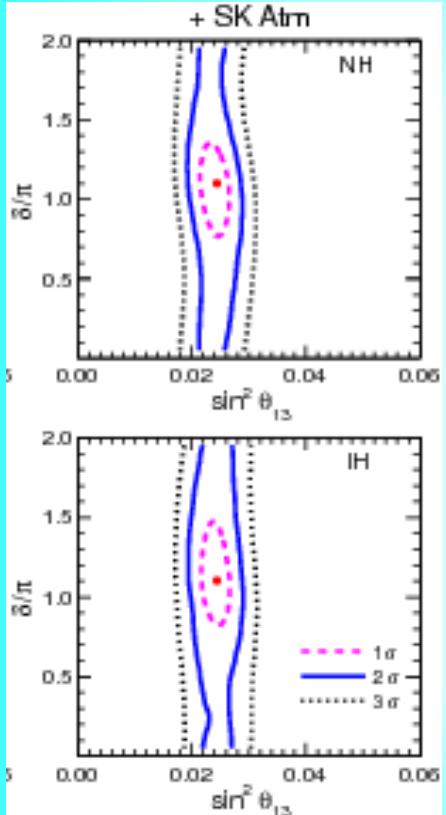
$$\sin^2 \theta_{23}$$

# 2-3 deviation and quadrant



## Global fit of oscillation data

# CP-phase: measurements and predictions



G. L. Fogli

First glimpses?

T. Yanagida

$$\delta_{CP} \sim \pi/2 \pm 0.02$$

Neutrino-  
antineutrino  
asymmetry

Dependence of  
probabilities on  
energy in wide  
range

Third way

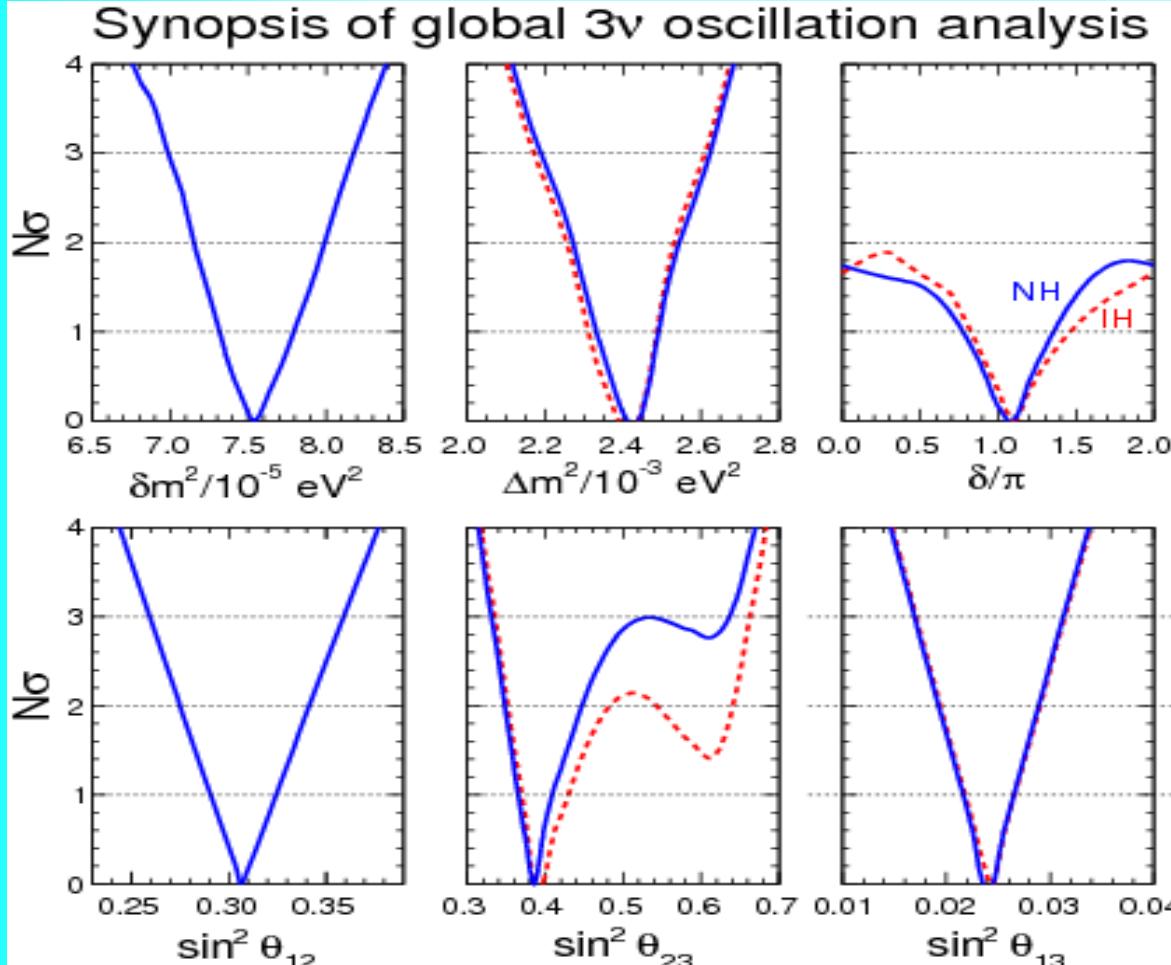
Reconstruction of  
unitarity triangle

Key measurement:  
amplitudes of the  
 $\nu_\mu - \bar{\nu}_\mu$  oscillations  
due to solar and  
atmospheric mass  
splittings

Do we have predictions for the phase in quark sector?  
Why do we think that we can predict leptonic mixing?  
Again because of neutrinos are special ? Symmetries?

# Synopsis

G. L. Fogli



Serious implications  
for theory

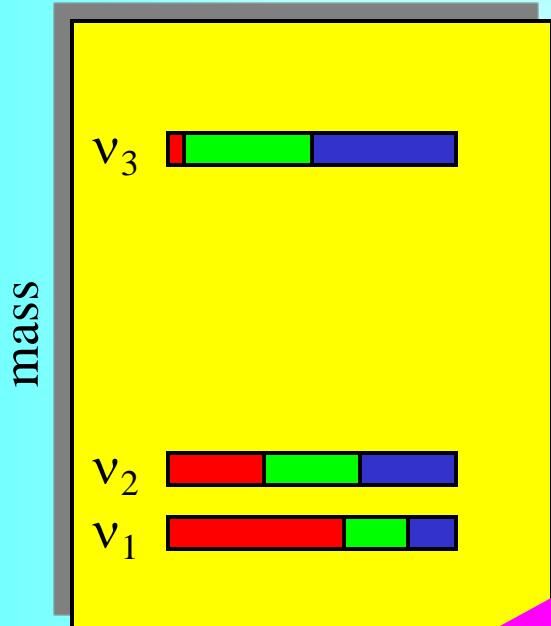
Non-zero, relatively  
Large 1-3 mixing

Substantial deviation  
of the 2-3 mixing  
from maximal

$$\delta_{CP} \sim \pi$$

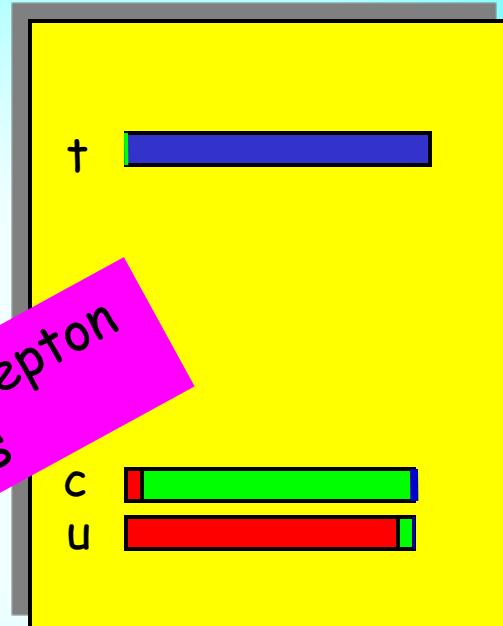
Robust ?

# Leptons versus quarks



Leptons

$$v_f = U_{PMNS} v_{\text{mass}}$$



Quarks

$$U_d = U_{CKM}^+ U$$

Strong difference of the lepton  
and quark mixing patterns

$$U = (u, c, t)$$

combination of down-quarks  
produced with a given up quark

# Mass scale

KATRIN



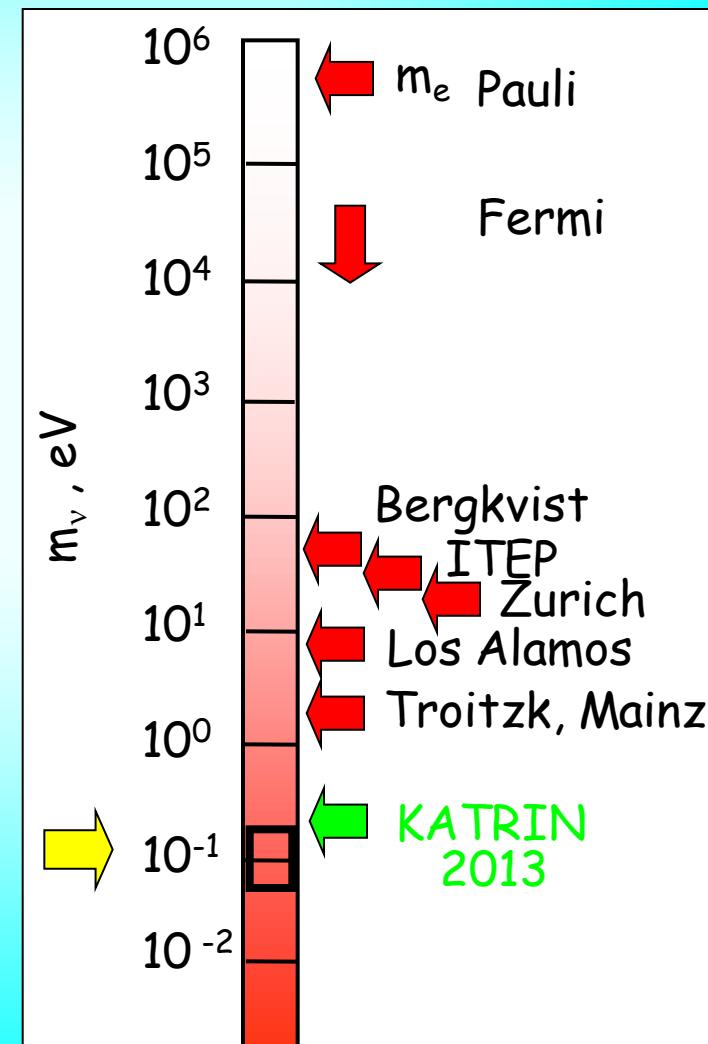
Oscillations,  
& cosmology



the heaviest neutrino has  
mass is in the range  
(0.045 - 0.10) eV

Oscillations:  $\frac{m_2}{m_3} \gtrsim 0.18$  the weakest  
mass hierarchy

Kinematical methods



# Cosmological bounds

$\Lambda$ CDM

- WMAP 7yr
- SDSS III 8<sup>th</sup> data release
- Hubble space telescope H

$$\Sigma m < 0.26 \text{ eV (95 \% CL)}$$

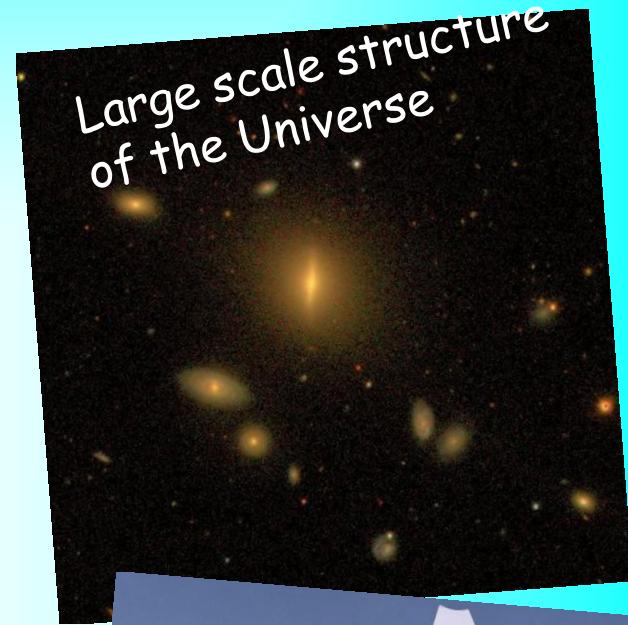
Conservative bias

$$\Sigma m < 0.36 \text{ eV (95 \% CL)}$$

- WMAP 7yr
- Observable Hubble parameter data (OHD)
- $H_0$  (in correlation with  $\sigma_8$ )

$$\Sigma m < 0.24 \text{ eV (68 \% CL)}$$

*R. De Putter et al,  
arXiv: 1201.1909  
[astro-ph.CO]*

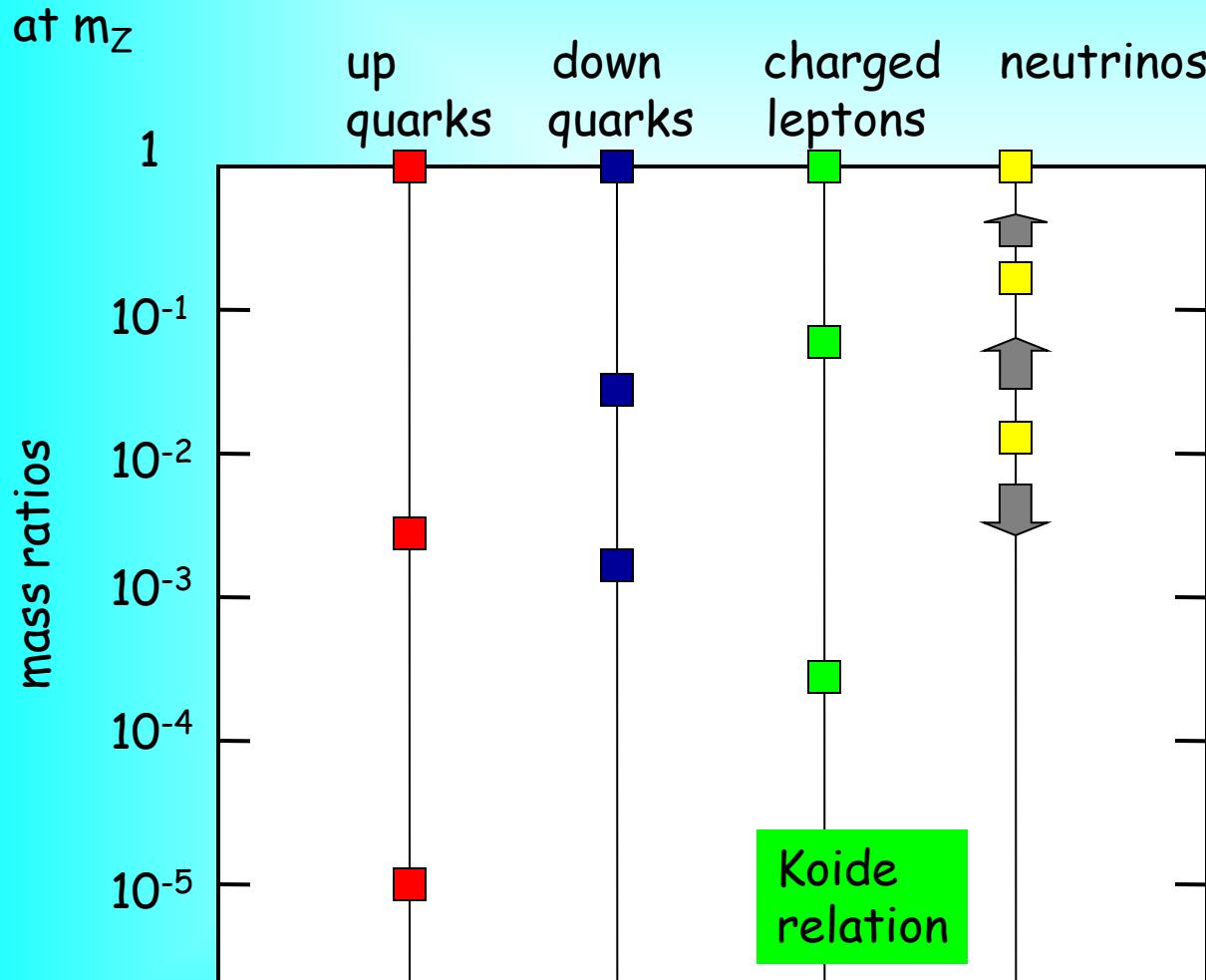


*M. Moresco, et al.,  
arXiv:1201.6658  
[astro-ph.CO]*

Future:  $\Sigma m < 0.08 \text{ eV}$



# Mass hierarchies



Solar,  
KamLAND

$$\frac{m_2}{m_3} \gtrsim \sqrt{\frac{\Delta m_{21}^2}{\Delta m_{32}^2}}$$

$\sim 0.18$

Neutrinos have  
the weakest mass  
hierarchy (if any)  
among fermions

Related to  
the large  
lepton mixing?

$$m_u m_t = m_c^2$$

$$\sin\theta_C \sim \sqrt{m_d/m_s}$$

$$\text{Gatto-Sartori-Tonin relation}$$

# Double beta decay

$$m_{ee} = U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i\alpha} + U_{e3}^2 m_3 e^{i\beta}$$

In terms of the lightest mass eigenstate

$$\begin{aligned} m_{ee} = & U_{e1}^2 m_1 \\ & + U_{e2}^2 (\Delta m_{21}^2 + m_1^2)^{1/2} e^{i\alpha} \\ & + U_{e3}^2 (\Delta m_{31}^2 + m_1^2)^{1/2} e^{i\beta} \end{aligned}$$

$$\begin{aligned} m_{ee} = & U_{e1}^2 (\Delta m_{13}^2 + m_3^2)^{1/2} \\ & + U_{e2}^2 (\Delta m_{23}^2 + m_3^2)^{1/2} e^{i\alpha} \\ & + U_{e3}^2 m_3 e^{i\beta} \end{aligned}$$

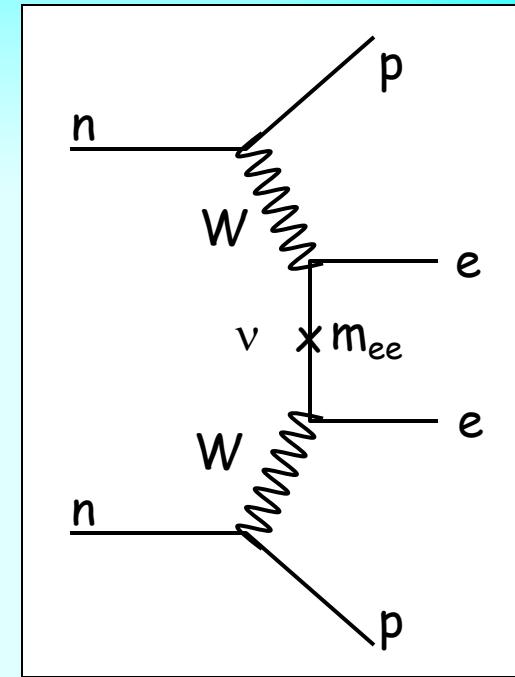
Normal mass hierarchy

Inverted mass hierarchy

Strong mass hierarchy:

$$m_{ee} \sim U_{e2}^2 (\Delta m_{21}^2)^{1/2} + U_{e3}^2 (\Delta m_{31}^2)^{1/2} e^{i\xi}$$

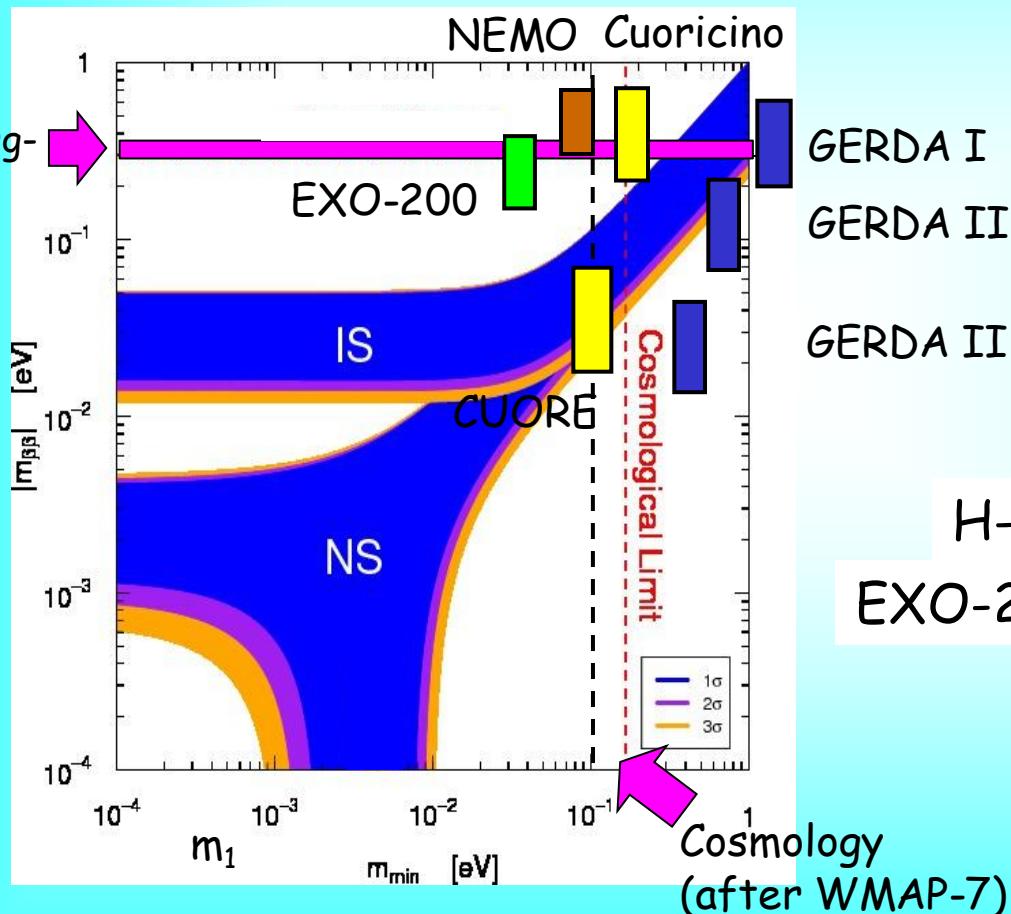
$$m_{ee} \sim (\Delta m_{31}^2)^{1/2} [r c_{13}^2 s_{12}^2 + s_{13}^2 e^{i\xi}]$$



$$m_{ee} = \sum_k U_{ek}^2 m_k e^{i\phi(k)}$$

# Sensitivity to the Majorana mass

*S M Bilenky C Giunti  
arXiv:1203.5250 [hep-ph]*



Upper bounds, boxes -  
uncertainties of NME

GERDA I

GERDA II

GERDA II

H-M:  $m_{ee} = (0.29 - 0.35)$  eV  
EXO-200:  $m_{ee} < (0.14 - 0.38)$  eV

Cosmology  
(after WMAP-7)

# H-M result



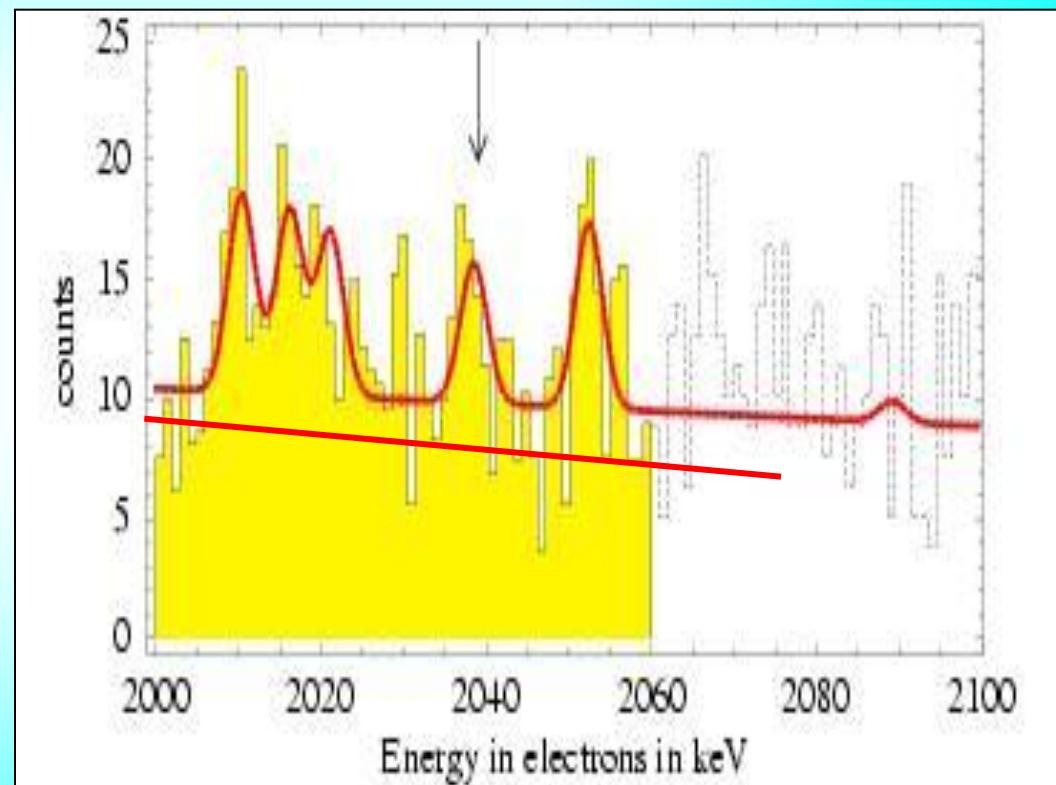
$$T_{1/2} = 2.23 {}^{+ 0.44}_{- 0.31} \times 10^{25} \text{ y} \quad (1\sigma)$$

> 6 $\sigma$  evidence for the observation  
of 0v $\beta\beta$ -decay

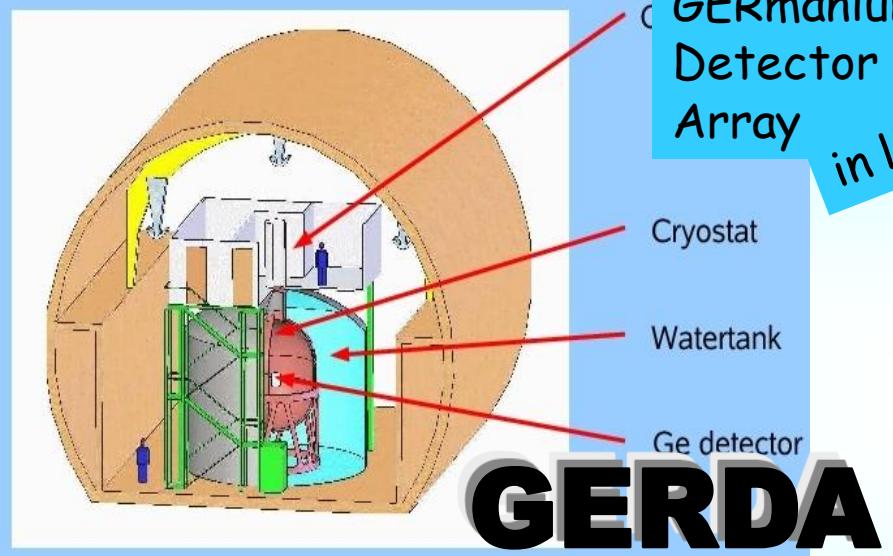
*H. V. Klapdor-Kleingrothaus and  
I. V. Krivosheina,  
Mod. Phys. Lett. A 21 1547 (2006)*

$$m_{ee} = (0.32 {}^{+/- 0.03}) \text{ eV}$$

Spectrum near the end point



# New experiments



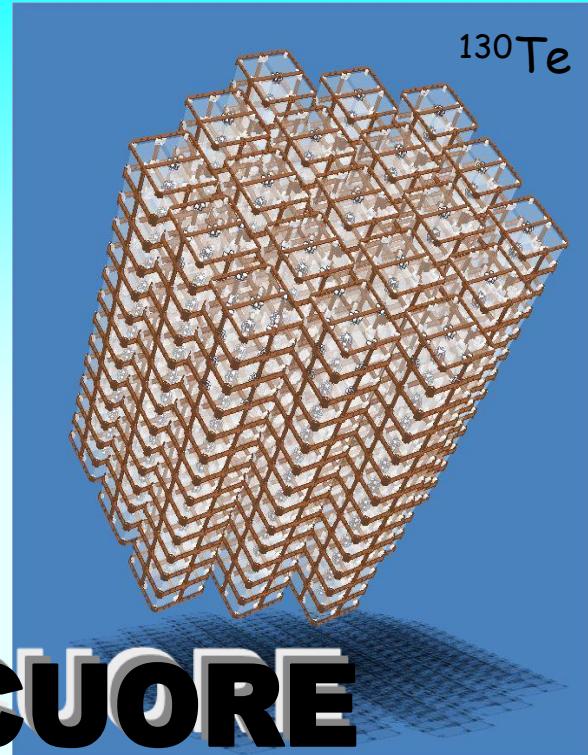
Phase I: 15 kg  $\gamma$ : 0.3 - 0.9 eV

Phase II: 37.5 kg  $\gamma$ : 0.09 - 0.29 eV

Phase III: 1 ton 0.01 eV

Xe- Observatory

**EXO-200**



**CUORE**  
Cryogenic Underground  
Observatory for Rare Events

# Challenges!

Accomplish reconstruction of neutrino mixing and mass spectrum

Mass hierarchy

CP-violation

Now after establishing  
relatively large 1-3 mixing  
can be easy

Deviation of 2-3 mixing  
from maximal

Precision measurements  
of mixing angles

Absolute mass scale

Nature of neutrino mass

Dirac vs Majorana

Searches for new  
neutrino states

# 3. Race for hierarchy and CP

Mass ordering

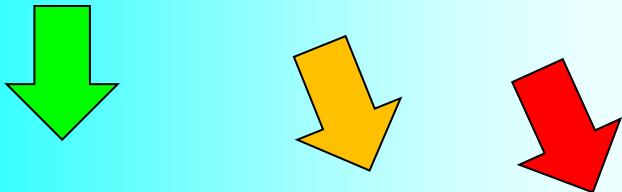
$$\Delta m^2_{31} \rightarrow -\Delta m^2_{31}$$

- Task for everybody:
- \* Theory - make predictions
  - \* Phenomenology - find effects which depend on mass hierarchy and CP-pheno
  - \* Experiment - measure these effects

Resonance in the antineutrino  
channel  $V \rightarrow -V$

# Race for hierarchy

Matter effect  
on 1-3 mixing



Precise  
measurements  
of  $\Delta m^2$   
at reactors

Cosmology  
 $\Sigma m$

Atmospheric  
neutrinos

Supernova  
neutrinos

Double beta  
decay  $m_{ee}$

PINGU

$NH \leftrightarrow IH$   
 $nu \leftrightarrow antinu$

LBL  
experiments

INO

NOvA

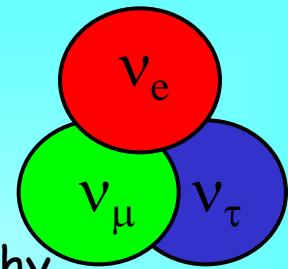
Neutrino beam

Fermilab-PINGU(W. Winter)

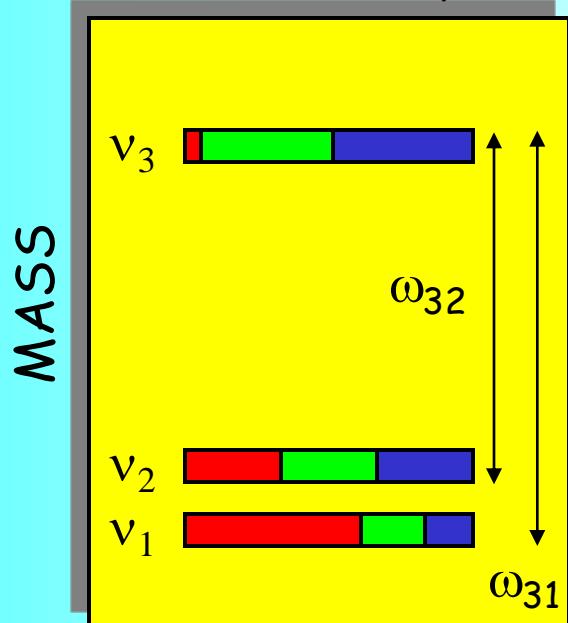
Earth matter  
effect  
Energy spectrs

Sterile neutrinos  
may help?

# Mass hierarchy (ordering)



Normal hierarchy



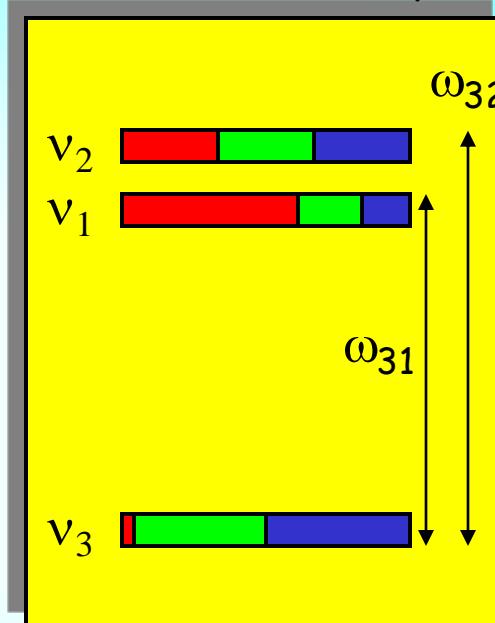
Cosmology

$\beta\beta$ -decay

$$\omega_{ij} = \Delta m^2_{ij} / 2E$$

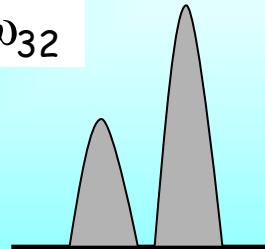
Mass states can  
be marked by  
 $v_e$  - admixtures

Inverted hierarchy



Oscillations

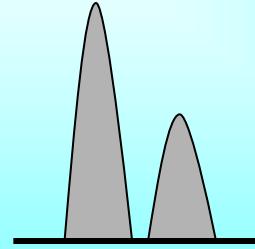
$$D_{31} \sim 2D_{32}$$



Matter  
effect

makes the e-flavor heavier →  
changes two spectra differently

Fourier  
analysis



$$\omega$$

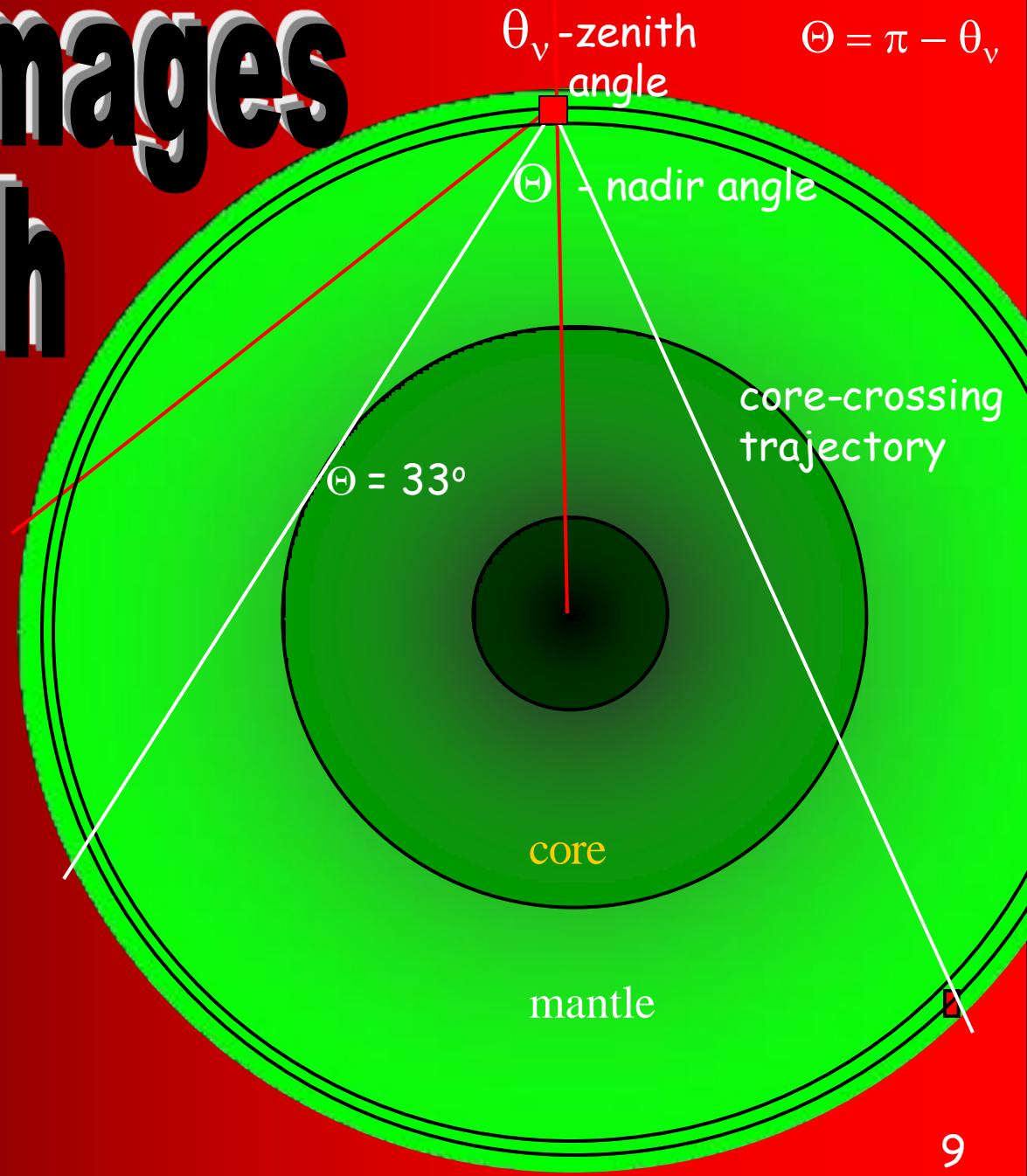
S. Petcov  
M. Piai

# Neutrino images of the Earth

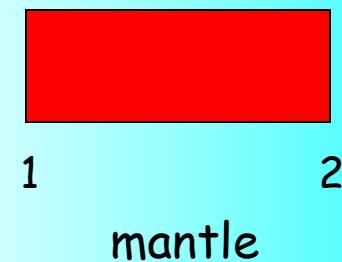
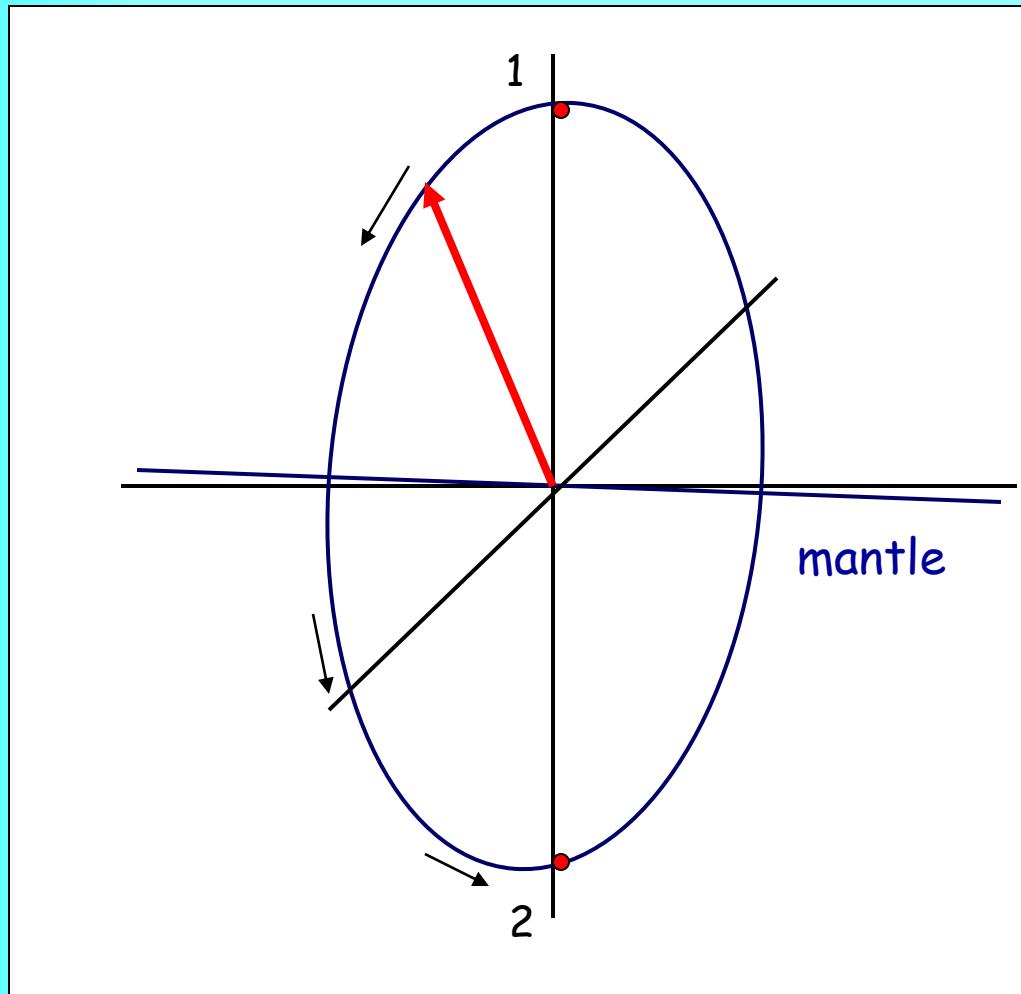
Oscillations in  
multilayer medium

Applications:  
flavor-to-flavor transitions

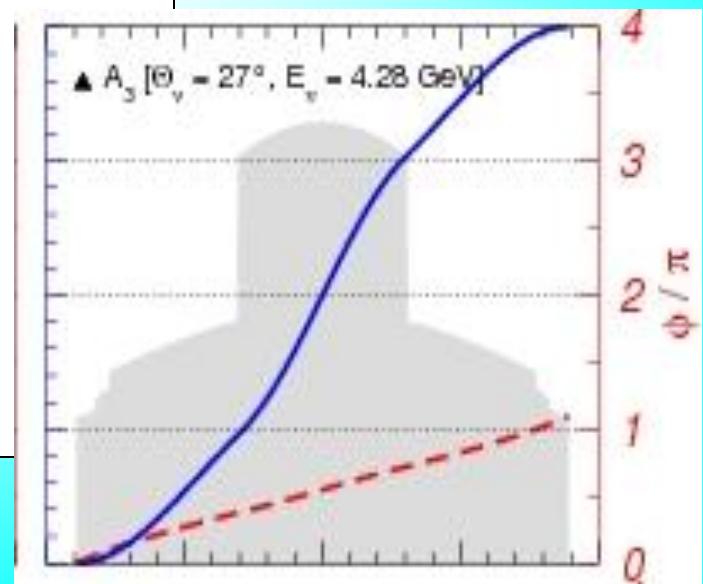
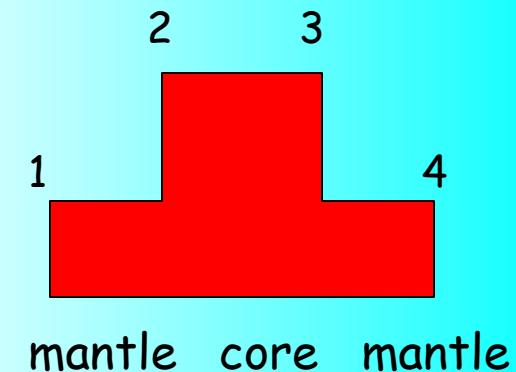
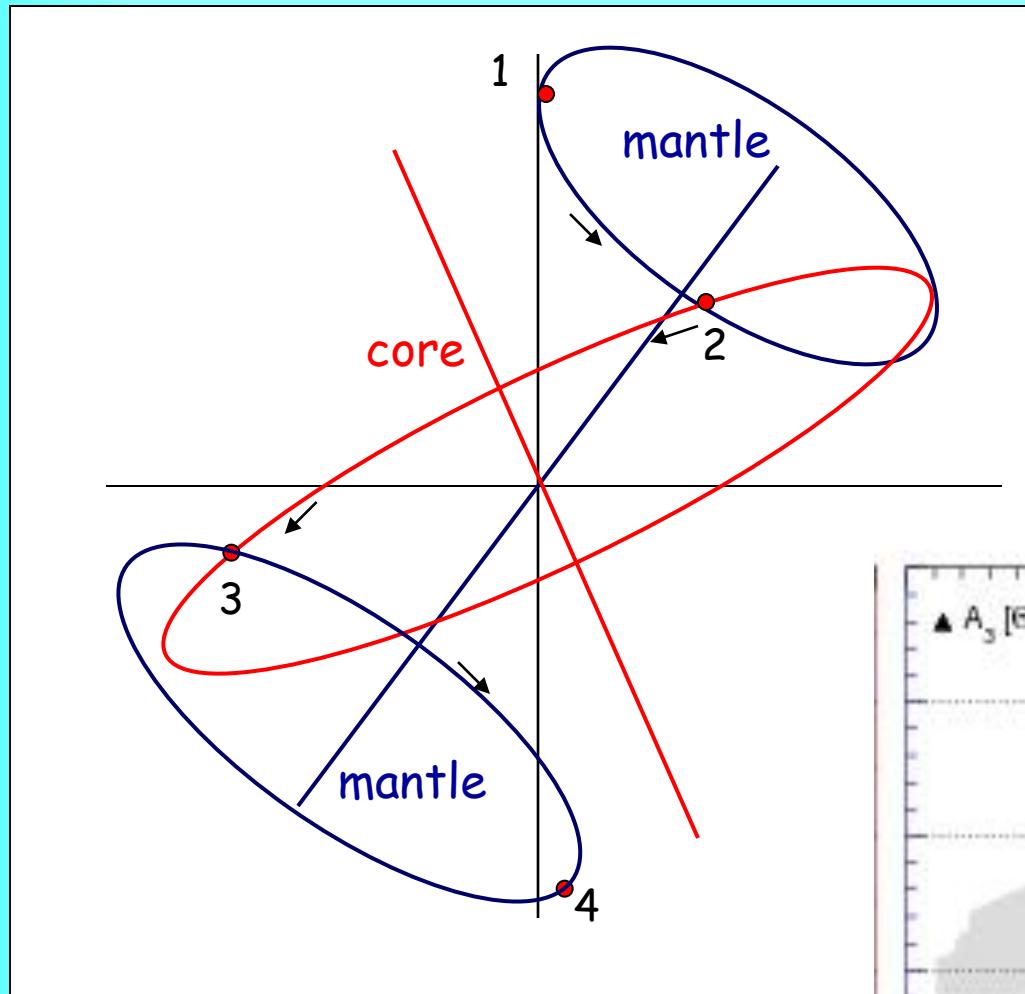
- accelerator
- atmospheric
- cosmic neutrinos



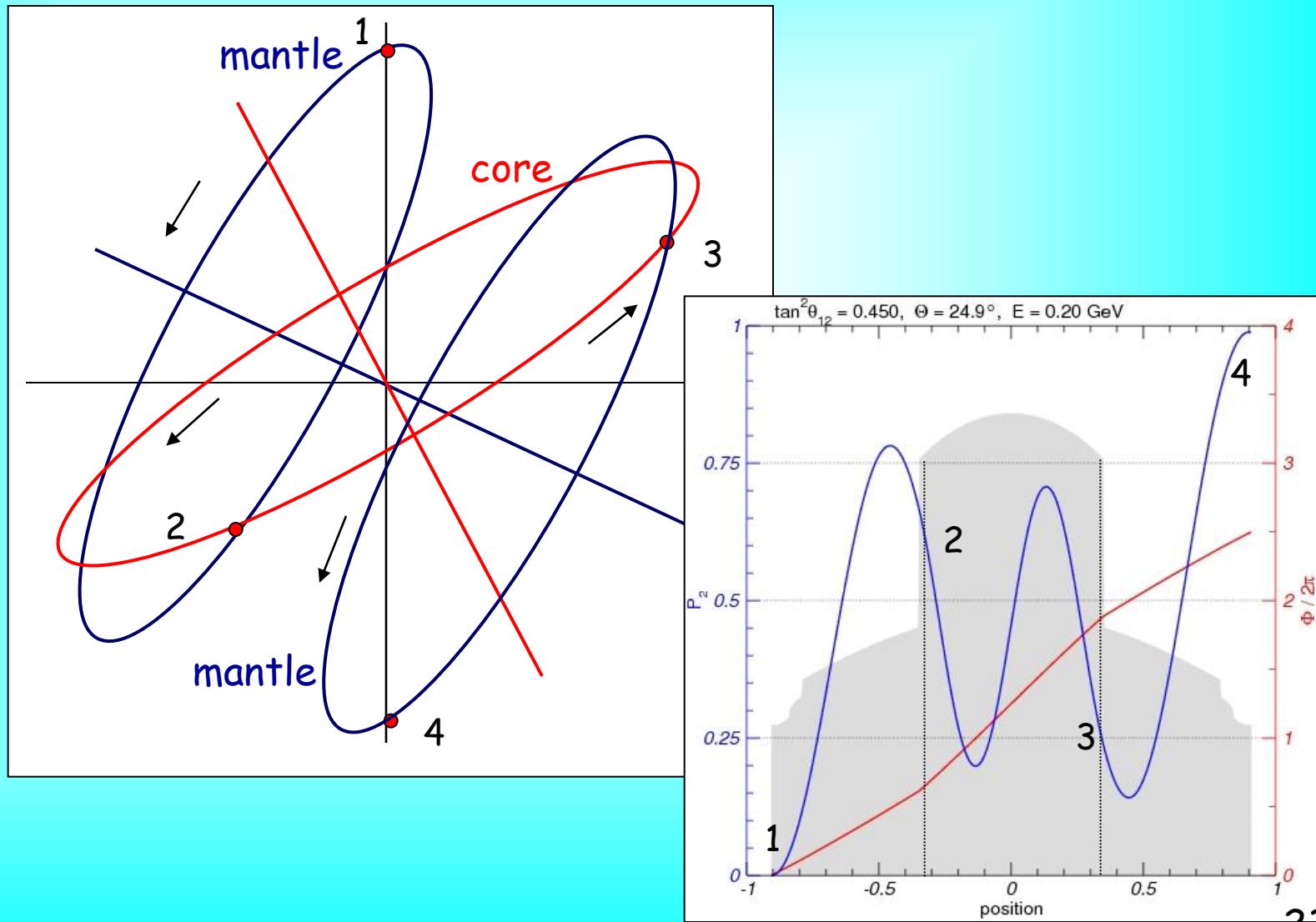
# Resonance enhancement in mantle



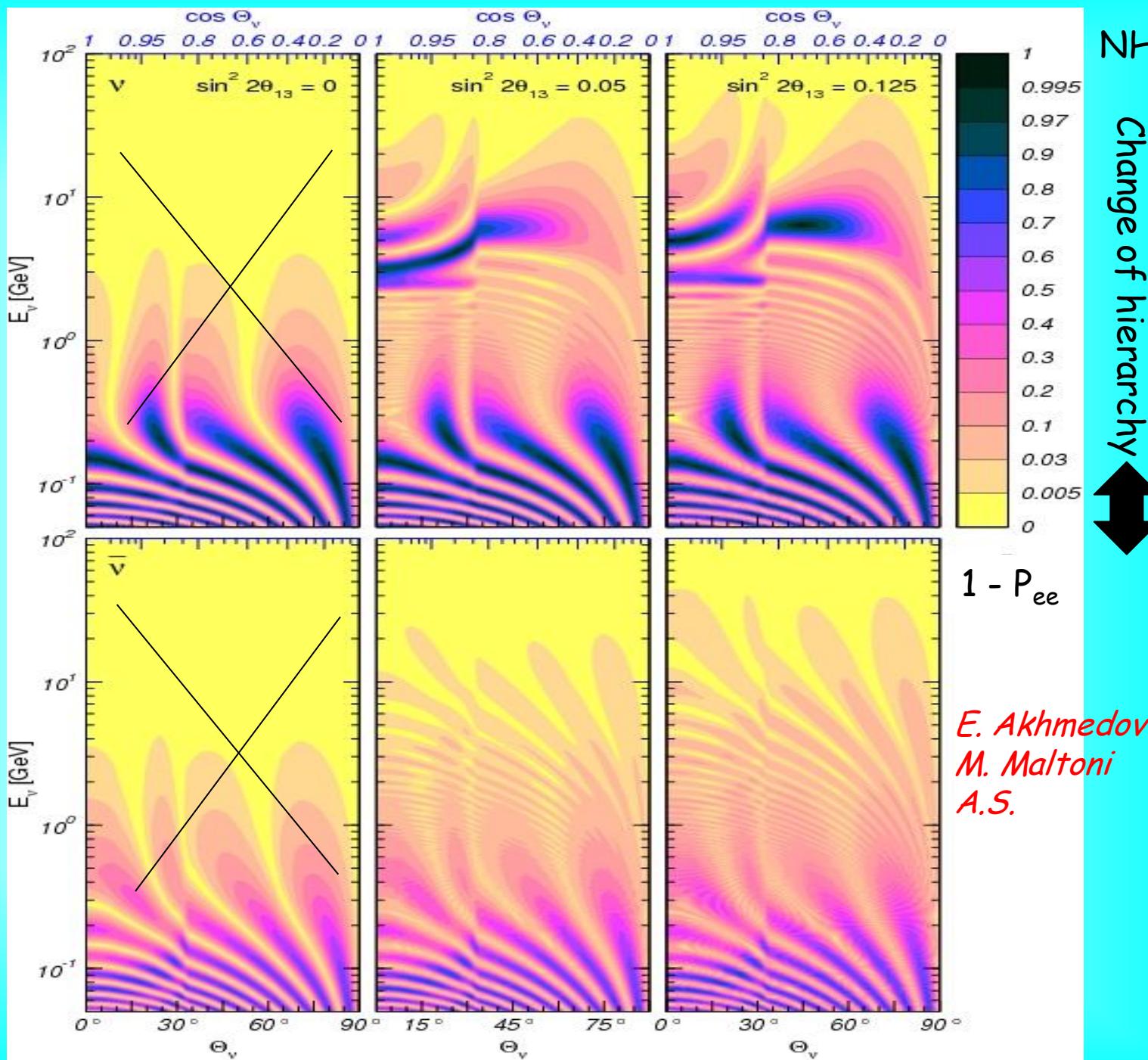
# Parametric enhancement

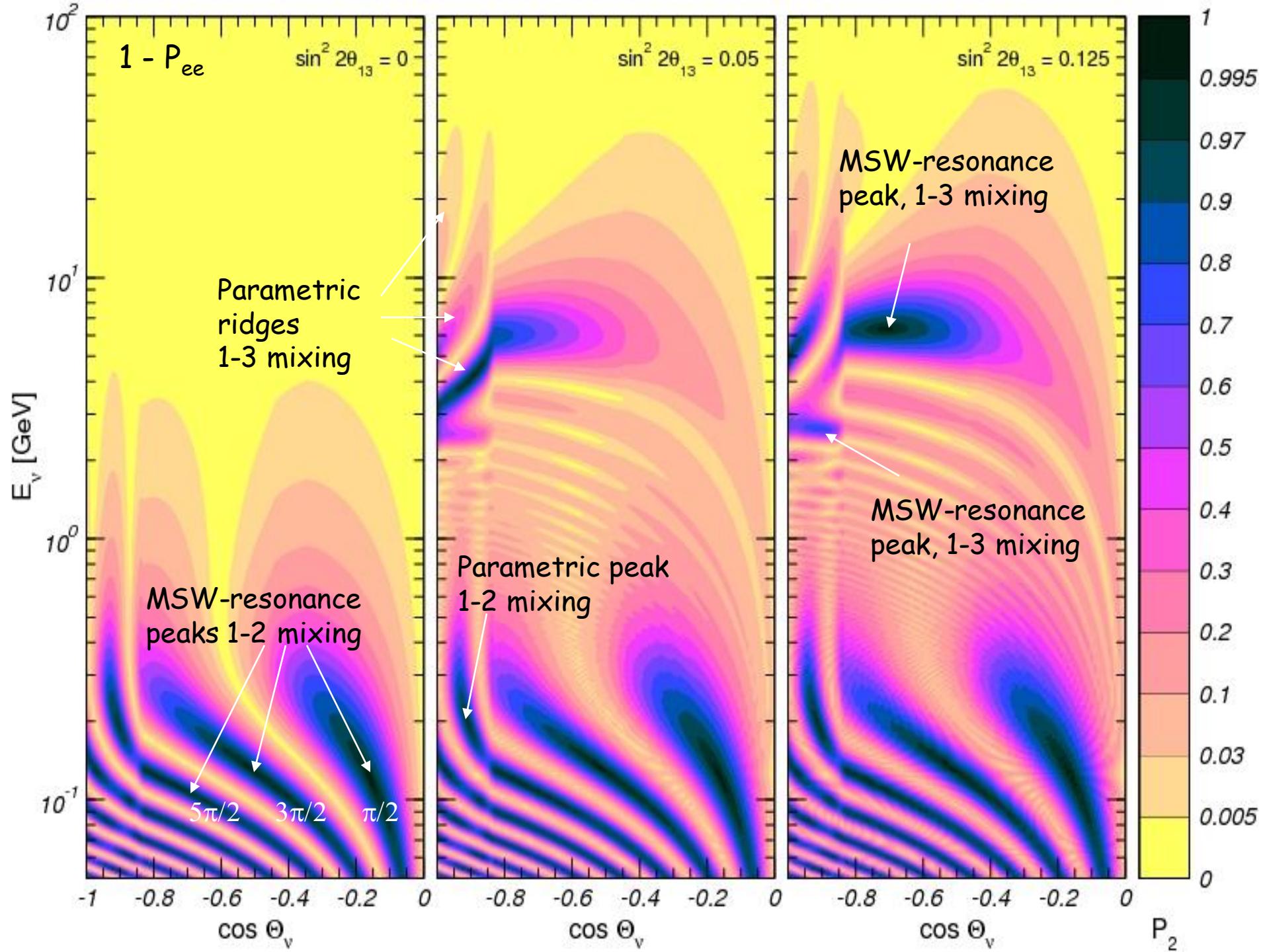


# Parametric enhancement of 1-2 mode



# Oscillograms of the Earth





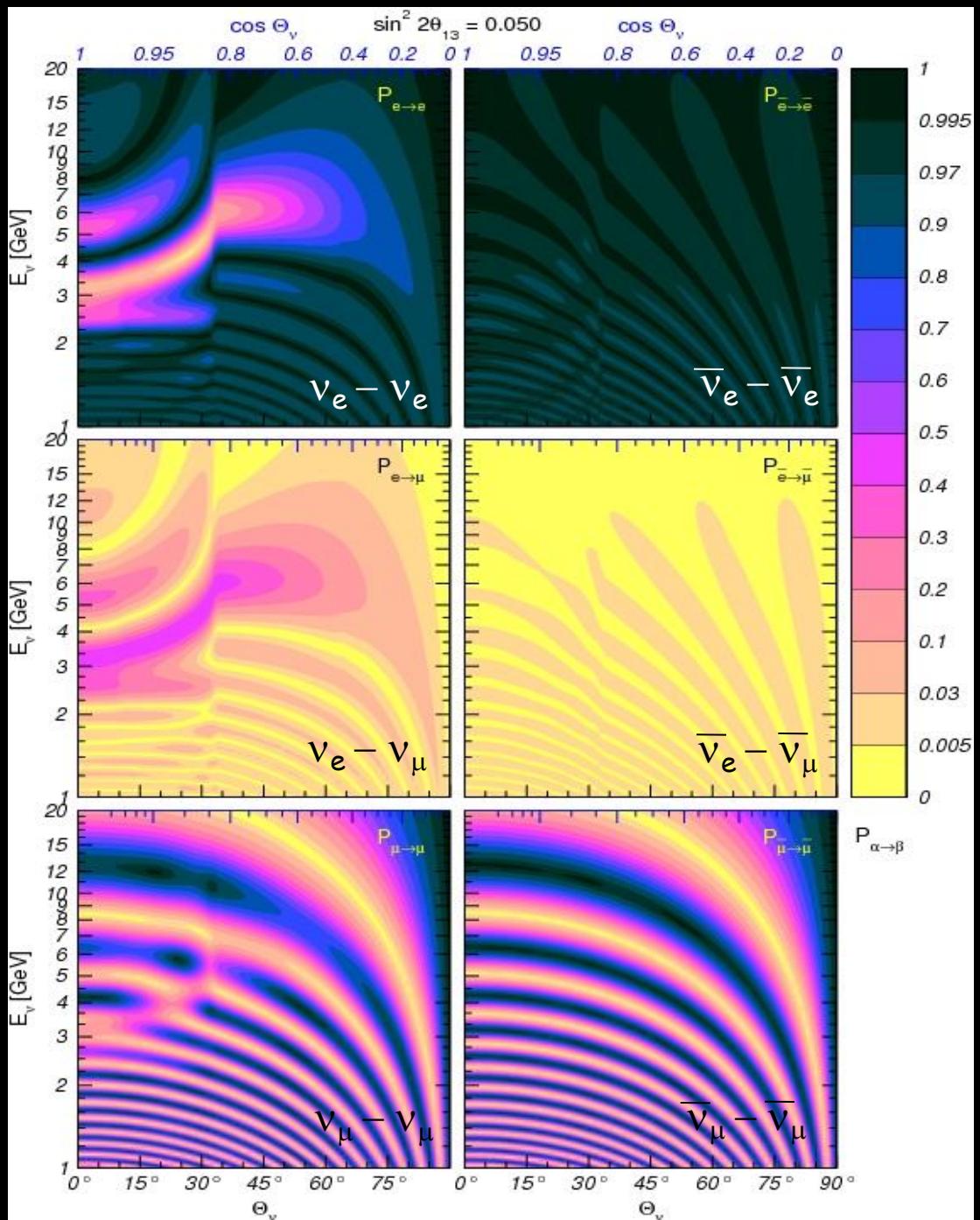
# Oscillograms

$$\sin^2 2\theta_{13} = 0.050$$

Normal mass hierarchy

The Earth in neutrino light

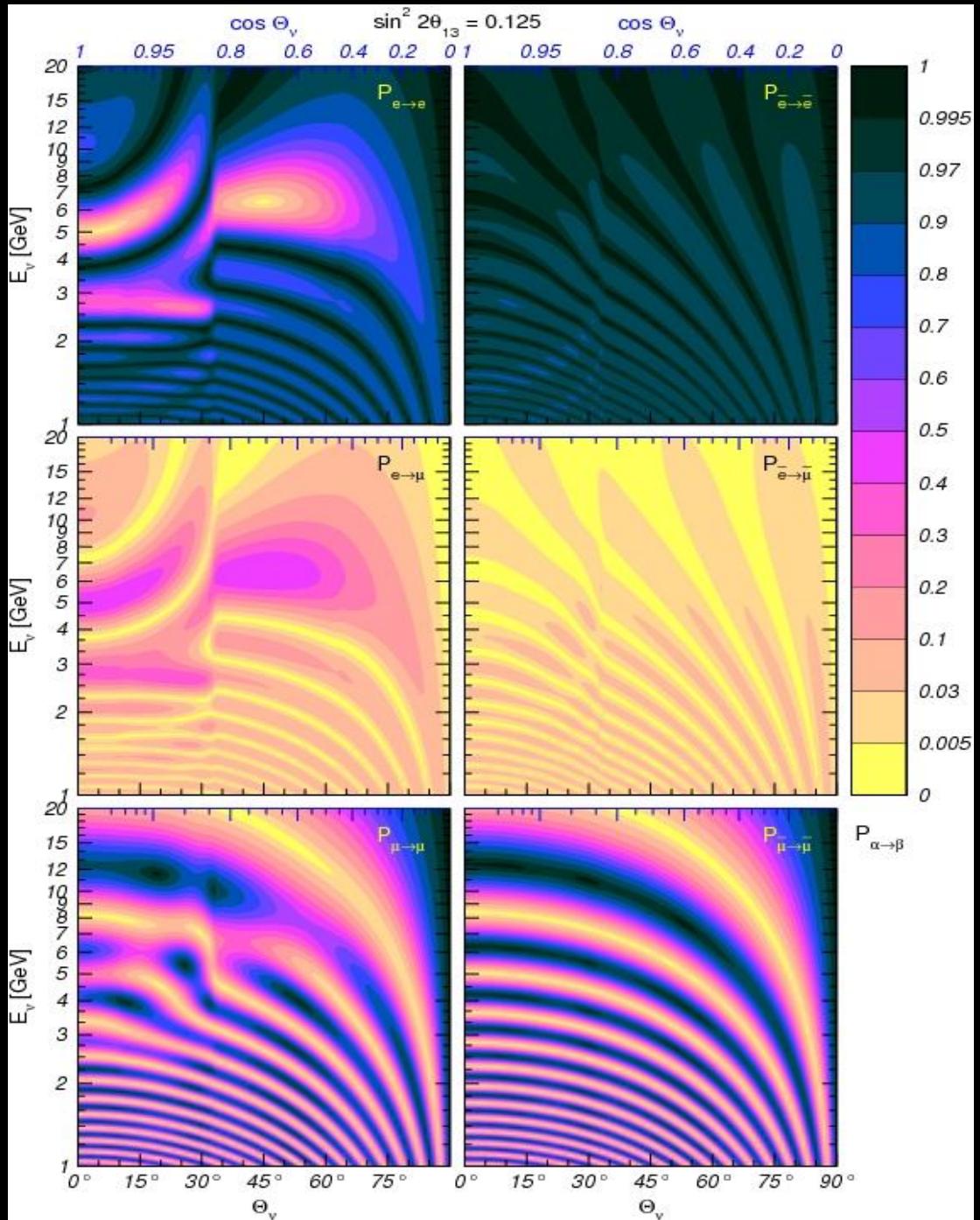
contours of constant  
oscillation probability  
in the energy- nadir  
(or zenith) angle plane



# Oscillograms

$\sin^2 2\theta_{13} = 0.125$

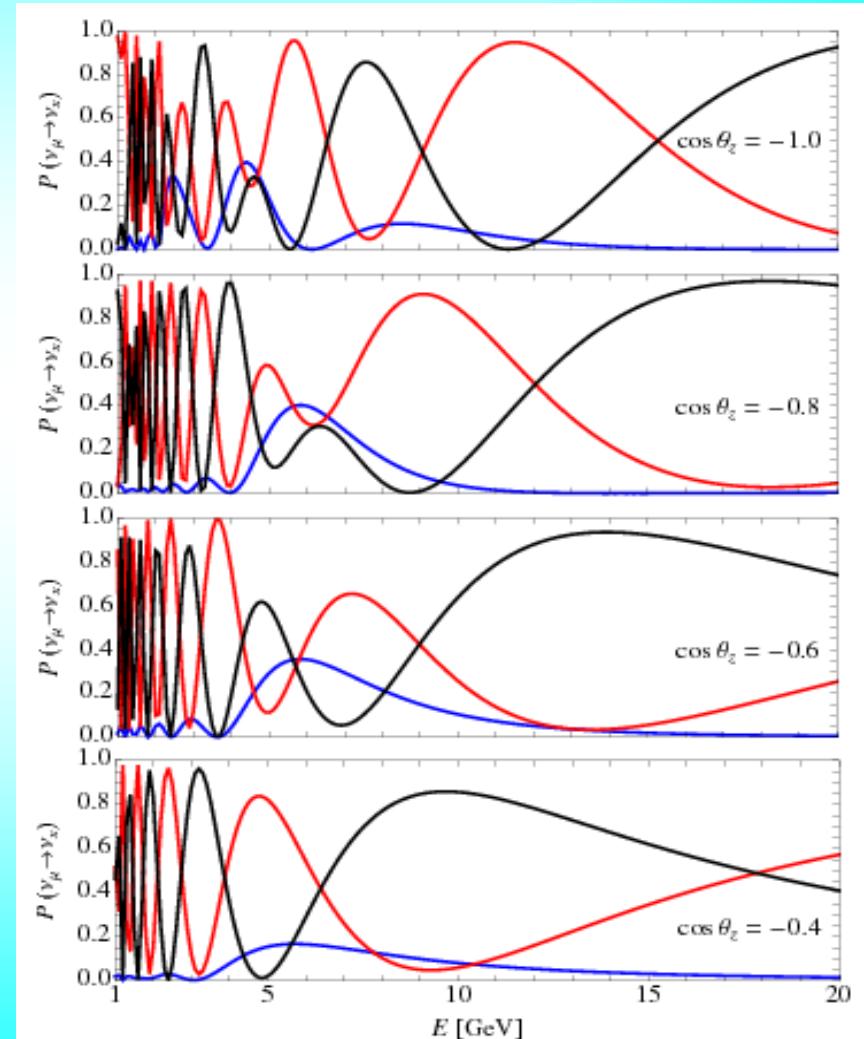
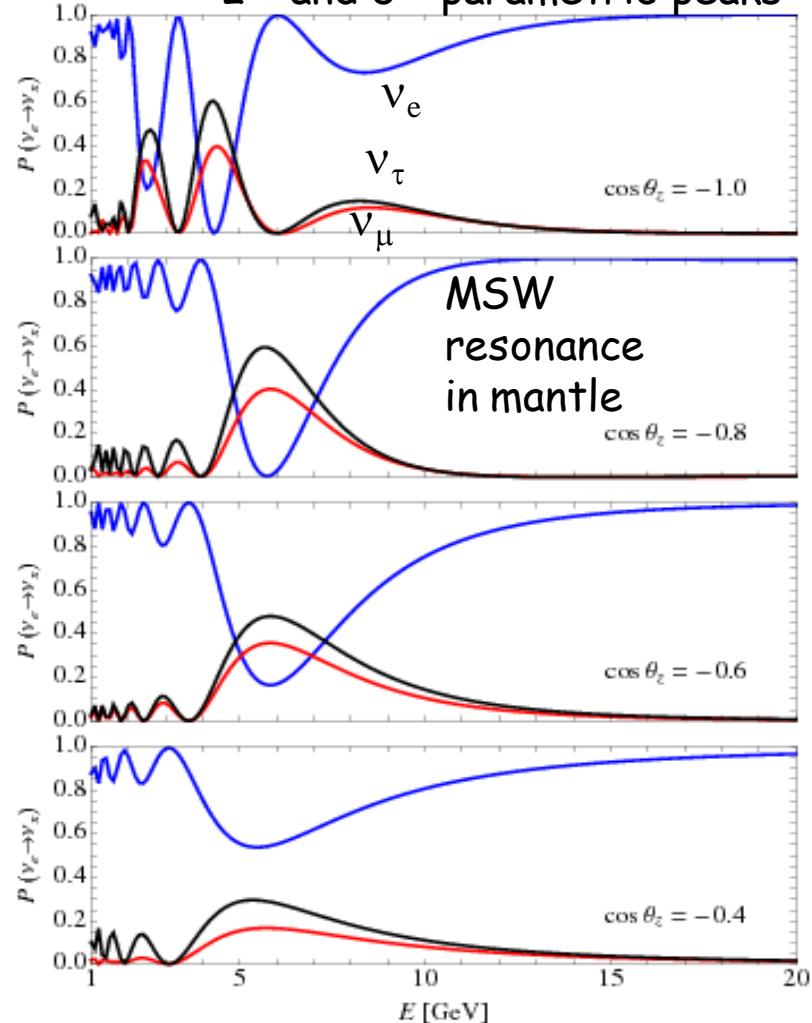
Normal mass hierarchy



# Oscillation probabilities

MSW  
resonance  
in core

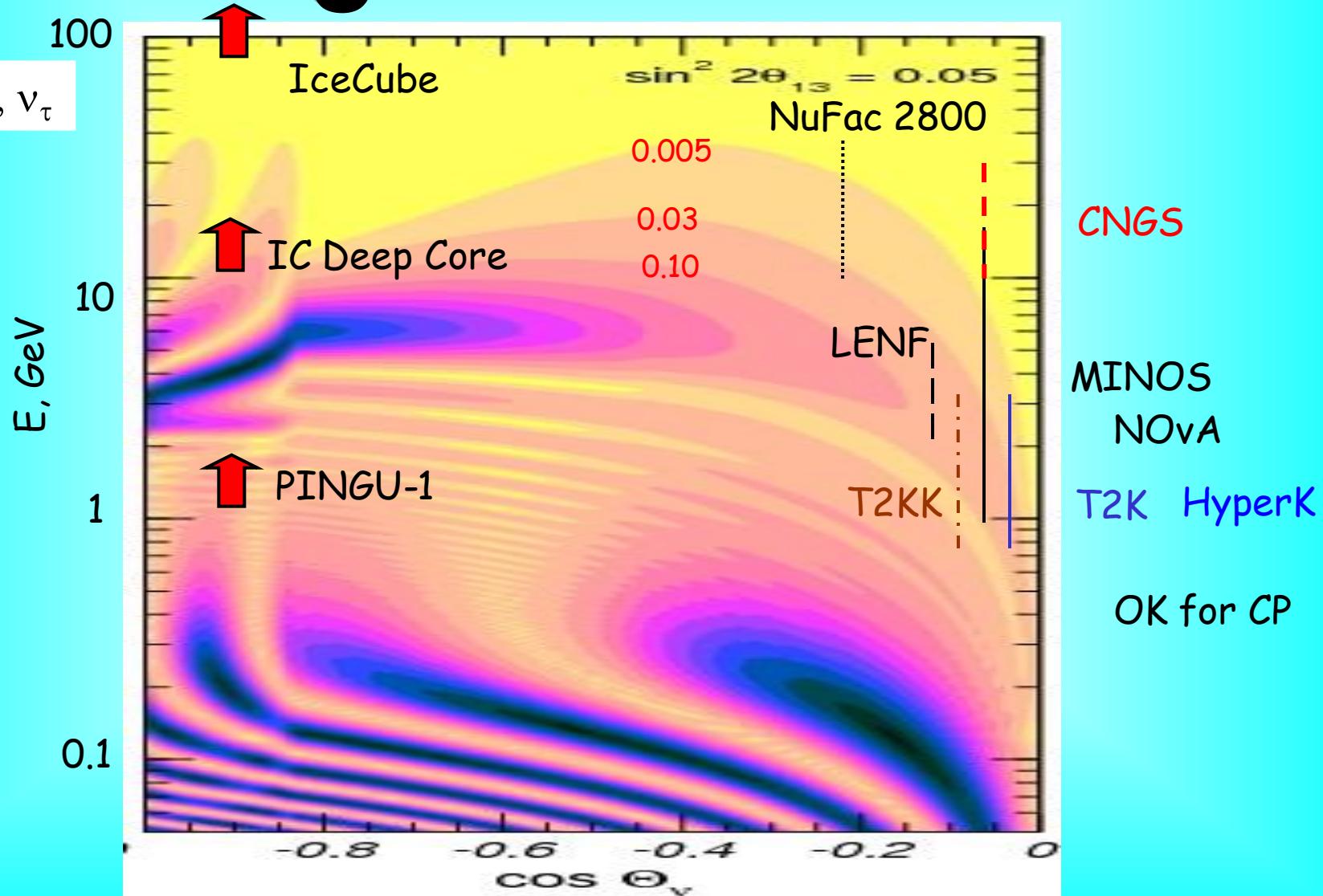
2<sup>nd</sup> and 3<sup>rd</sup> parametric peaks



# Oscillograms

contours of constant oscillation probability in energy- nadir (or zenith) angle plane

$\nu_e \rightarrow \nu_\mu, \nu_\tau$



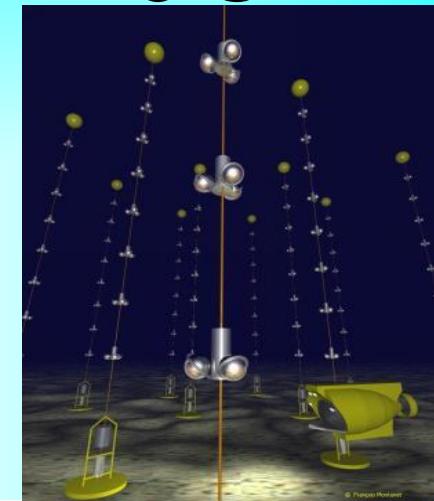
# Atmospheric neutrinos

Oscillation physics with Huge atmospheric neutrino detectors

*P. Coyle*

ANTARES

Oscillations  $2.7\sigma$



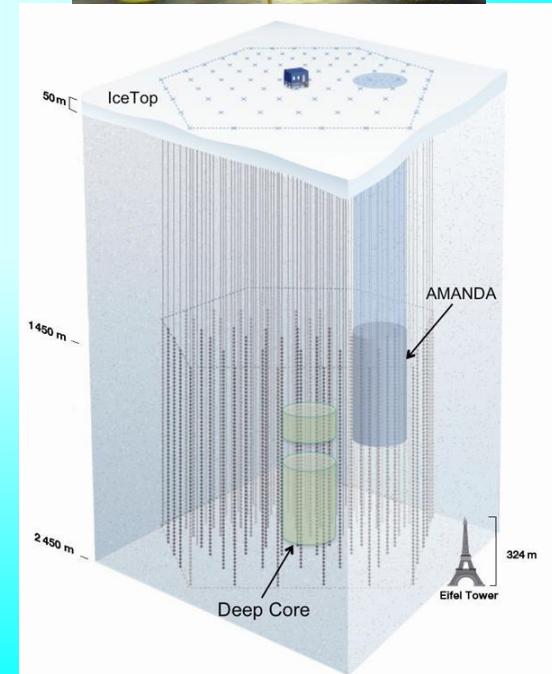
DeepCore

Oscillations at high energies 10 - 100 GeV in agreement with low energy data

*G. Sullivan*

Ice Cube

no oscillation effect  
at  $E > 100$  GeV



Bounds on non-standard interaction,  
Lorentz violation etc

# PINGU Geometry

Precision IceCube Next Generation Upgrade

Denser array

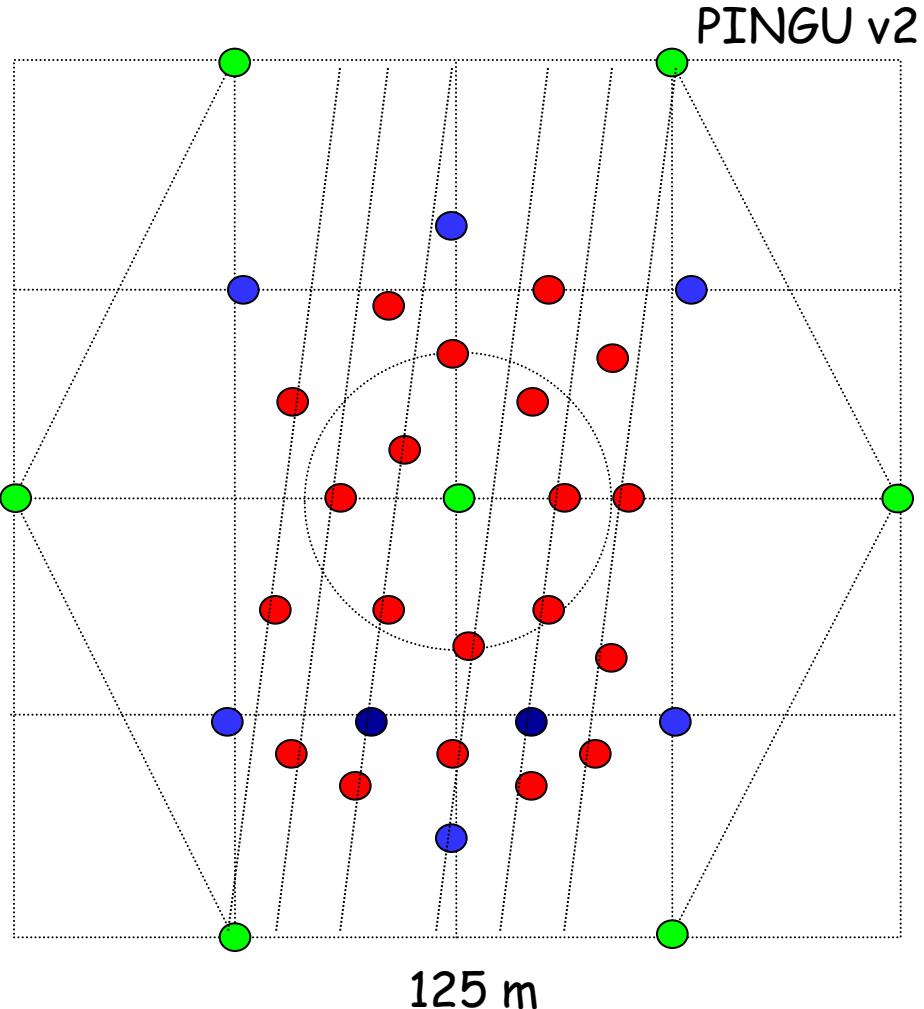
20 new strings (~60 DOMs each)  
in 30 MTon DeepCore volume



Few GeV threshold in inner  
10 Mton volume

Energy resolution ~ 3 GeV

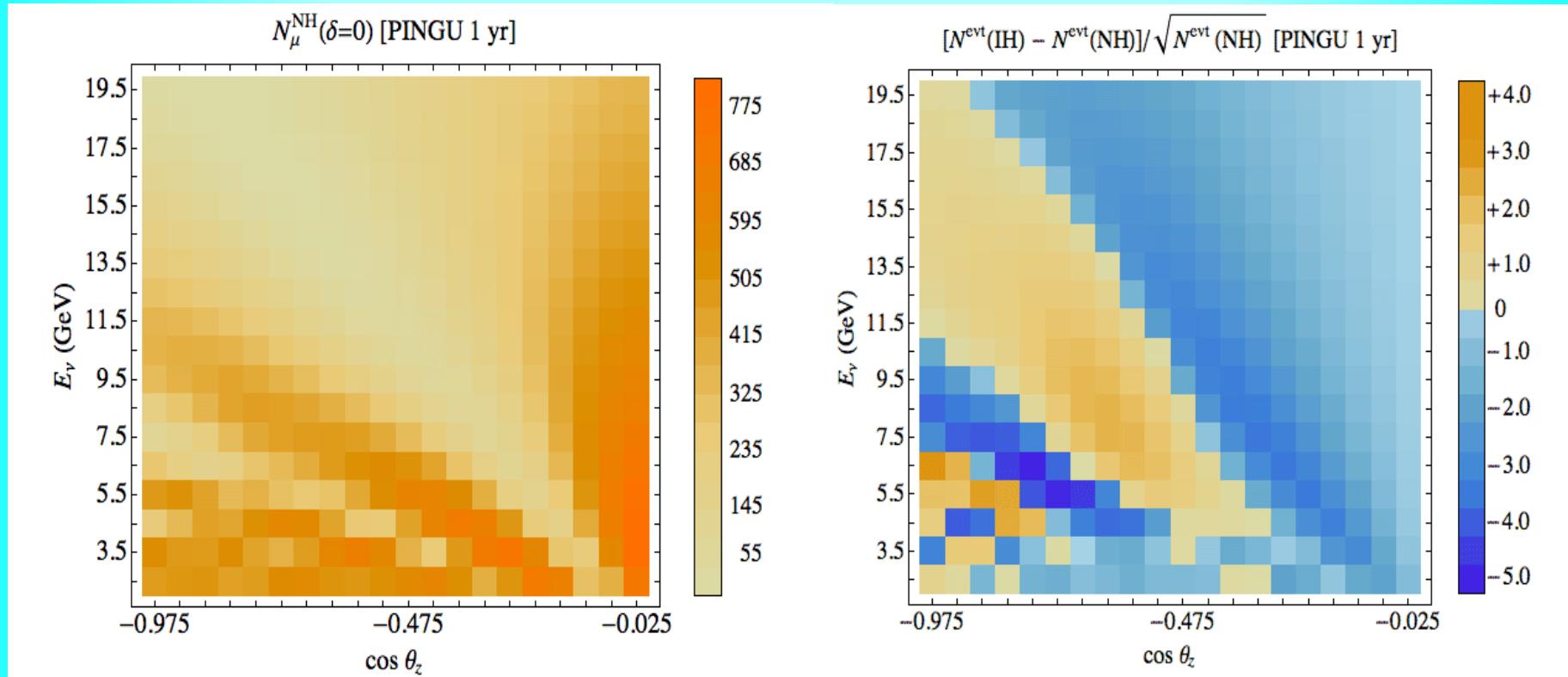
- Existing IceCube strings
- Existing DeepCore strings
- New PINGU-I strings



# PINGU: Tracking events

E. Kh Akhmedov,  
S Razzaque,  
A. Y. S.

Asymmetry, statistical significance



Quick estimation  
of significance

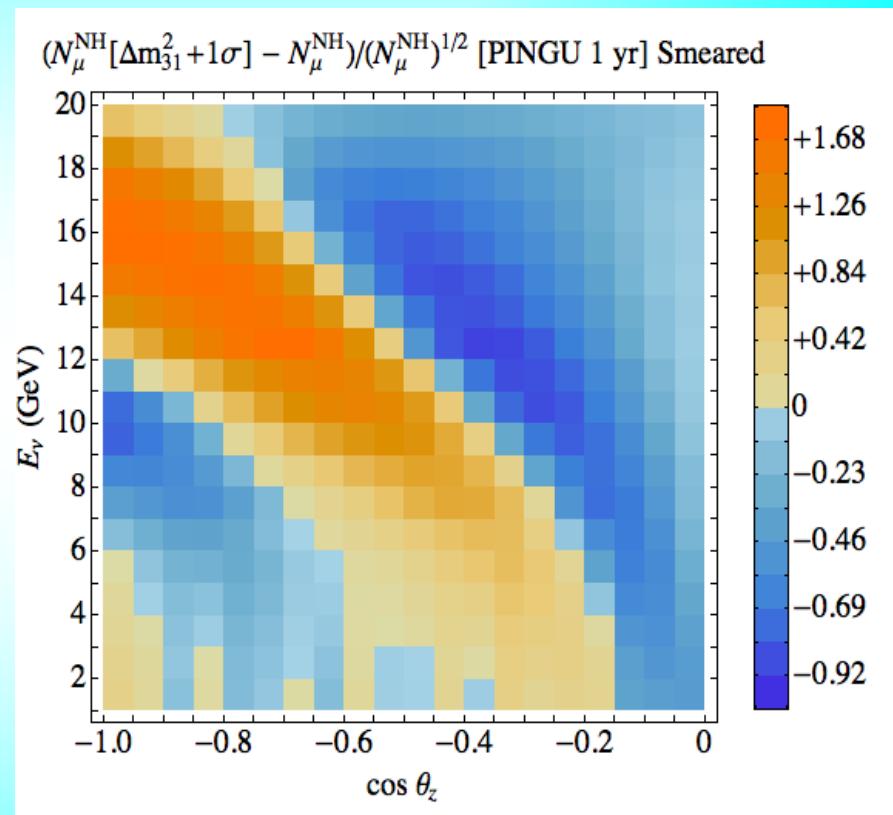
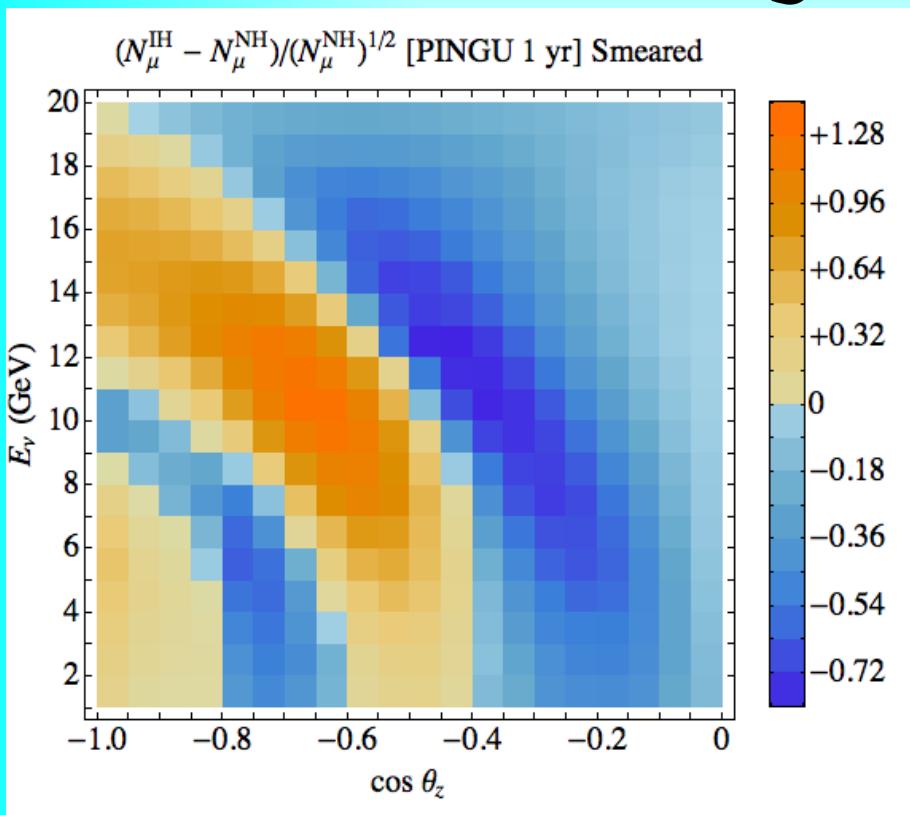
$$S_{\text{tot}} \sim s n^{1/2}$$

Effective average  
significance in individual bin

Number of bins in  
resolution domains

Systematics reduces  
significance by factor 2

# Hierarchy with PINGU



$$\sigma_E = 0.2E$$

$$\sigma_\theta \sim 1/E^{0.5}$$

Degeneracy

**CP-violation**

# CP-violation

CP- transformations:

$$\nu \rightarrow \nu^c$$

$$\nu^c = i \gamma_0 \gamma_2 \nu^+$$

applying to the chiral components

Under CP-transformations:

$$U_{PMNS} \rightarrow U_{PMNS}^*$$



$$\delta \rightarrow -\delta$$

$$V \rightarrow -V$$

usual medium is C-asymmetric  
which leads to CP asymmetry  
of interactions

Degeneracy of effects:  
Matter can imitate CP-violation

# Evolution

Propagation basis

$$v_f = U_{23} I_\delta \tilde{v}$$

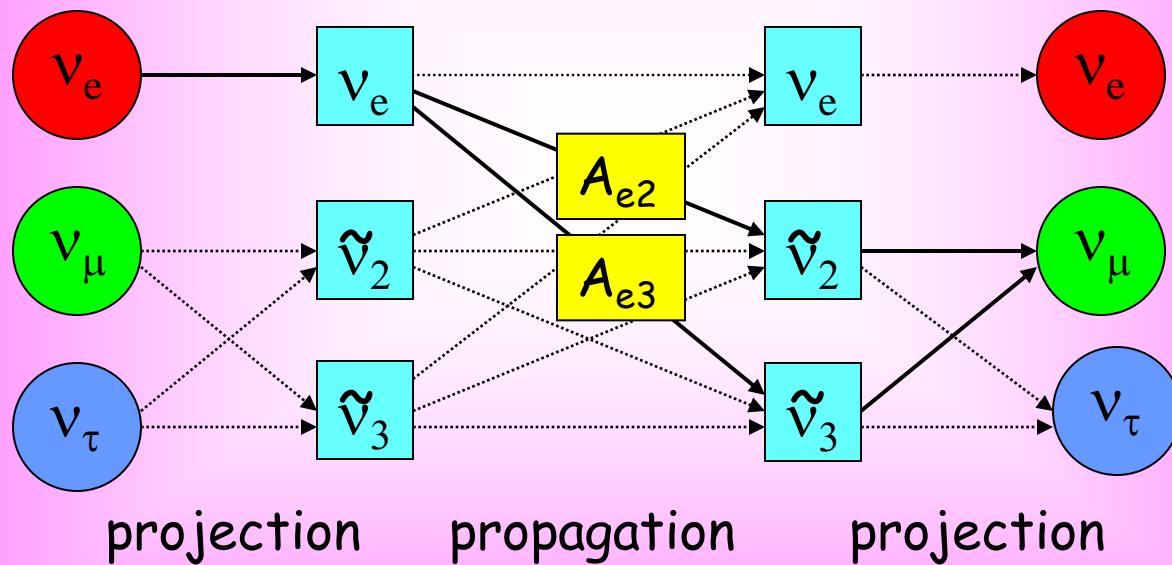
$$I_\delta = \text{diag}(1, 1, e^{i\delta})$$

For  $E > 0.1 \text{ GeV}$

$$\tilde{H} = U_{13}^\top U_{12}^\top H^{\text{diag}} U_{12} U_{13}$$

$$H^{\text{diag}} = \text{diag}(H_{1m}, H_{2m}, H_{3m})$$

CP-violation and 2-3 mixing - excluded from dynamics of propagation



CP appears in  
projection only

$$A_{22}$$

$$A_{33}$$

$$A_{23}$$

For instance:

$$A(v_e \rightarrow v_\mu) = \cos\theta_{23} A_{e2} e^{i\delta} + \sin\theta_{23} A_{e3}$$

# Interference

$$P(\nu_e \rightarrow \nu_\mu) = |\cos \theta_{23} A_{e2} e^{i\delta} + \sin \theta_{23} A_{e3}|^2$$

``solar'' amplitude

``atmospheric'' amplitude

dependence on  
 $\delta$  and  $\theta_{23}$  is explicit

``Factorization''  
approximation:

$A_{e2}$  depends mainly on  $\Delta m_{12}^2, \theta_{12}$   
 $A_{e3}$  depends mainly on  $\Delta m_{13}^2, \theta_{13}$   
corrections of the order  $\Delta m_{12}^2 / \Delta m_{13}^2, s_{13}^2$

For constant density:

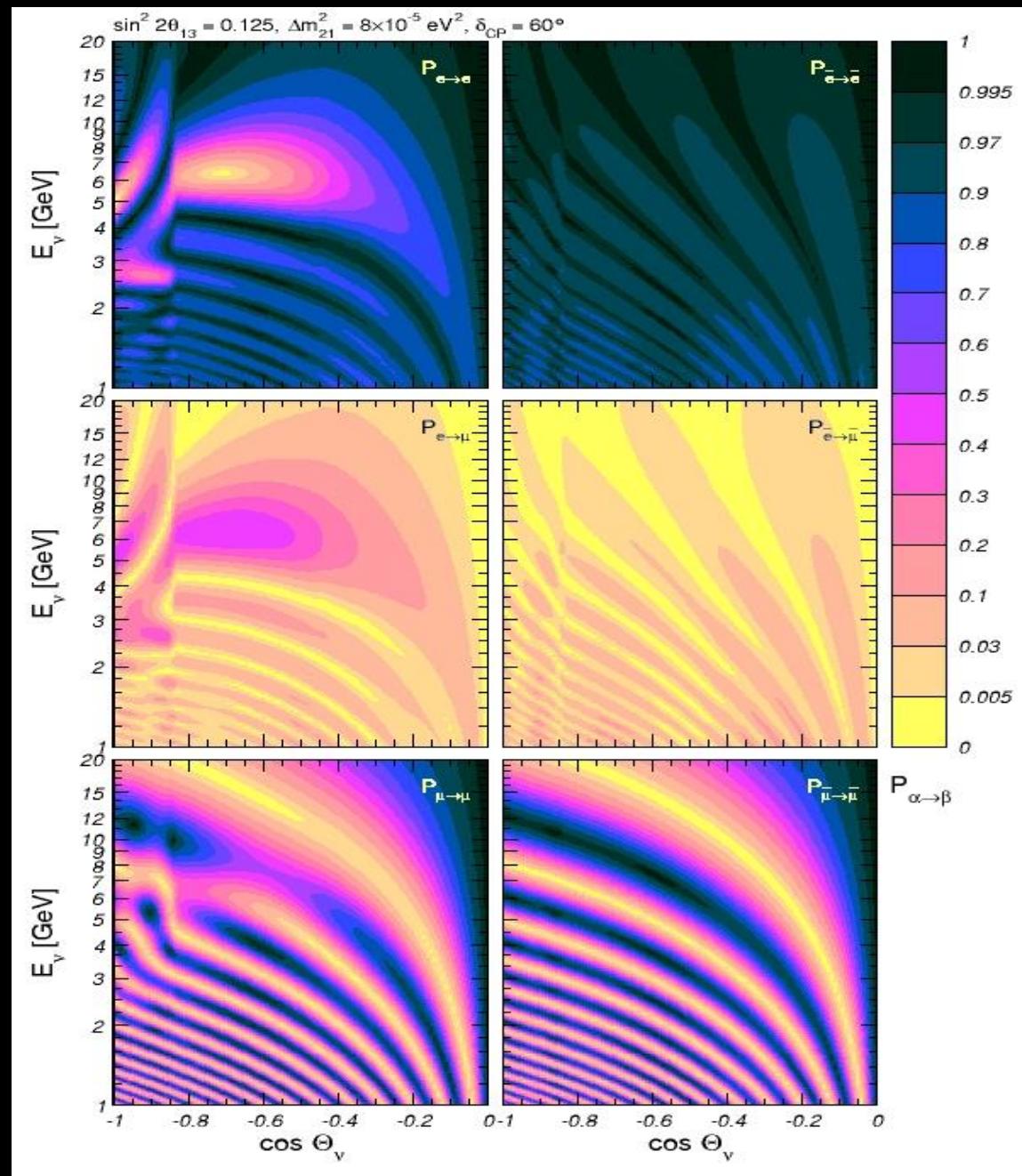
$$A_{e2} \sim i \sin 2\theta_{12} m \sin \frac{\pi L}{l_{12} m}$$
$$A_{e3} \sim i \sin 2\theta_{13} m \sin \frac{\pi L}{l_{13} m}$$

up to phase  
factors

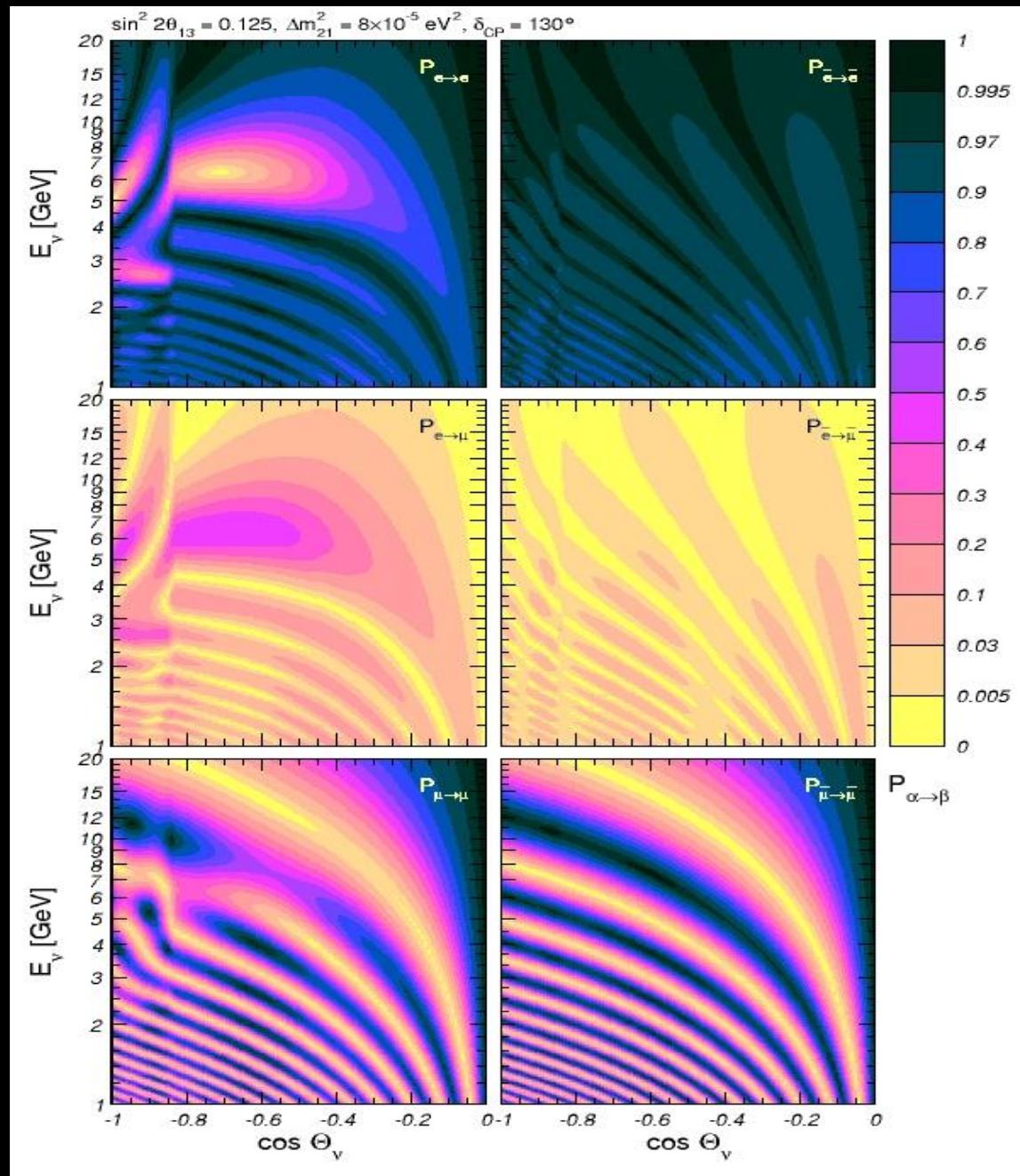
# CP-violation

$\delta = 60^\circ$

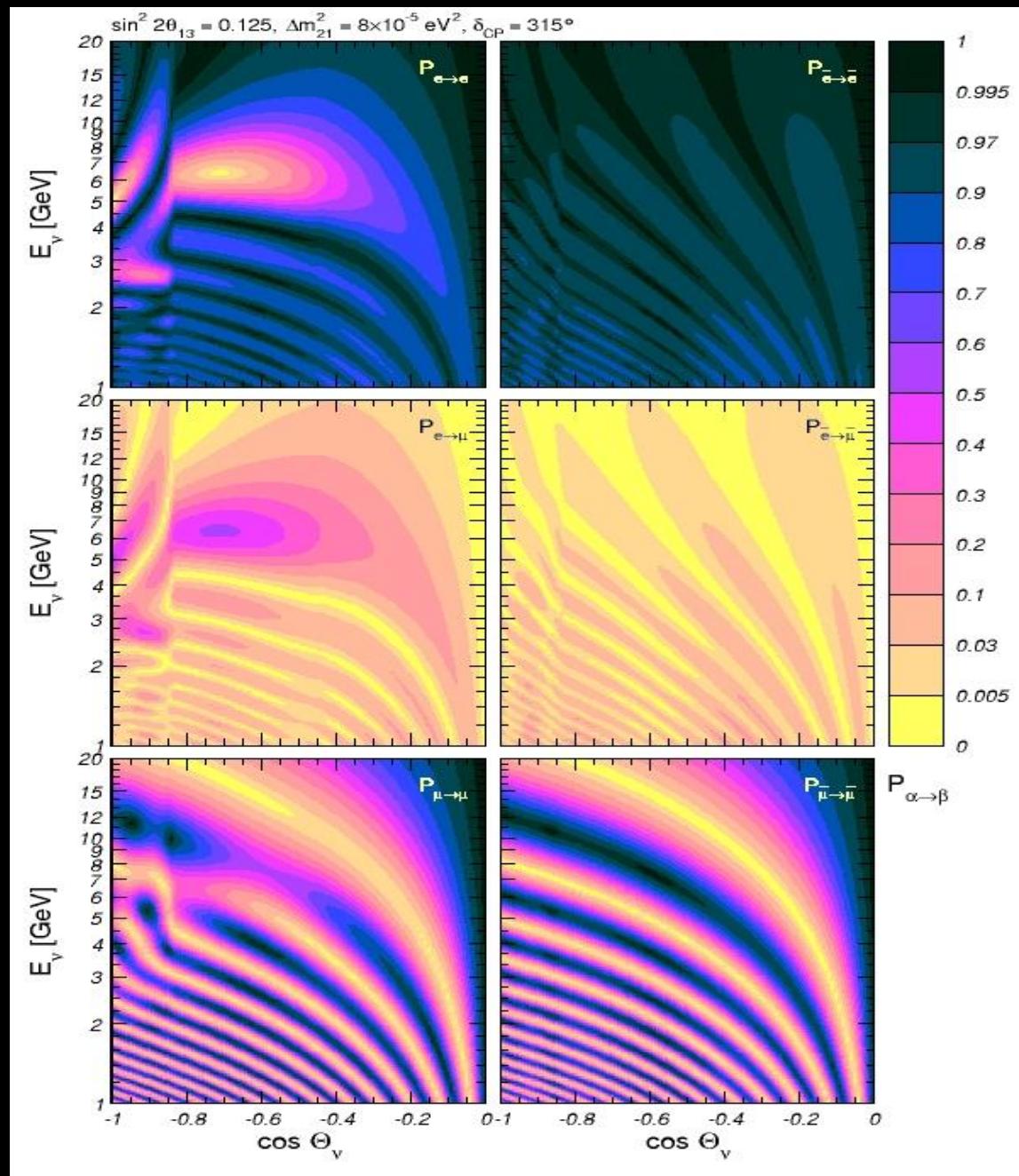
Standard  
parameterization



$\delta = 130^\circ$



$\delta = 315^\circ$



# CP-violation domains

Three grids  
of lines:

Solar magic lines

Atmospheric magic lines

Interference phase lines

# "Magic lines"

P. Huber, W. Winter  
V. Barger, D. Marfatia,  
K Whisnant, A.S.

Explicitly

$$P(\nu_e \rightarrow \nu_\mu) = c_{23}^2 |A_{e2}|^2 + s_{23}^2 |A_{e3}|^2 + 2s_{23}c_{23}|A_{e2}||A_{e3}|\cos(\phi + \delta)$$

$$\phi = \arg(A_{e2} A_{e3}^*)$$

$$P_{\text{int}} = 2s_{23}c_{23}|A_{e2}||A_{e3}|\cos(\phi + \delta)$$

Dependence on  $\delta$  disappears, interference term is zero if

$$P_{\text{int}} = 0$$

- $A_{e2} = 0$  - solar magic lines
- $A_{e3} = 0$  - atmospheric magic lines
- $(\phi + \delta) = \pi/2 + 2\pi k$  - interference phase condition

$$\phi(E, L) = -\delta + \pi/2 + \pi k$$

depends on  $\delta$

# "Magic lines"

For  $\nu_\mu \rightarrow \nu_\mu$  channel

$$P_{\text{int}} \sim 2s_{23}c_{23}|A_{e2}||A_{e3}|\cos\phi\cos\delta$$

- The survival probabilities is CP-even functions of  $\delta$
- no CP-violation
- dependences on phases factorize

Dependence on  $\delta$  disappears

$$\begin{aligned} P_{\text{int}} &= 0 \\ A_{e2} &= 0 \\ A_{e3} &= 0 \\ \phi &= \pi/2 + \pi k \end{aligned}$$

interference phase  
does not depends on  $\delta$

Form the phase line grid

$$\Delta P = P(\delta) - P(\delta_f) = \text{const}$$

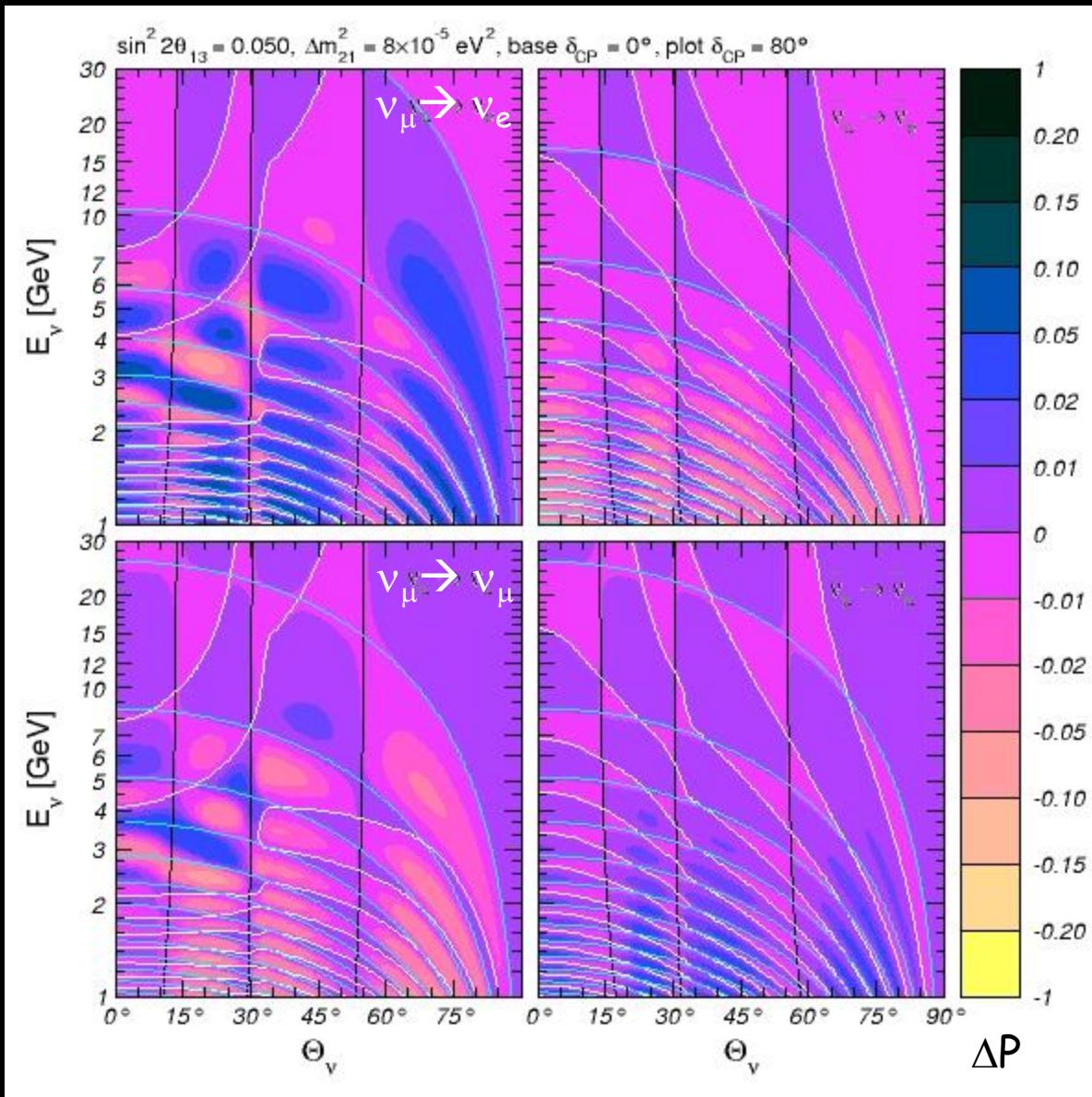
Int. phase  
line (blue)  
moves with  
 $\delta$ -change

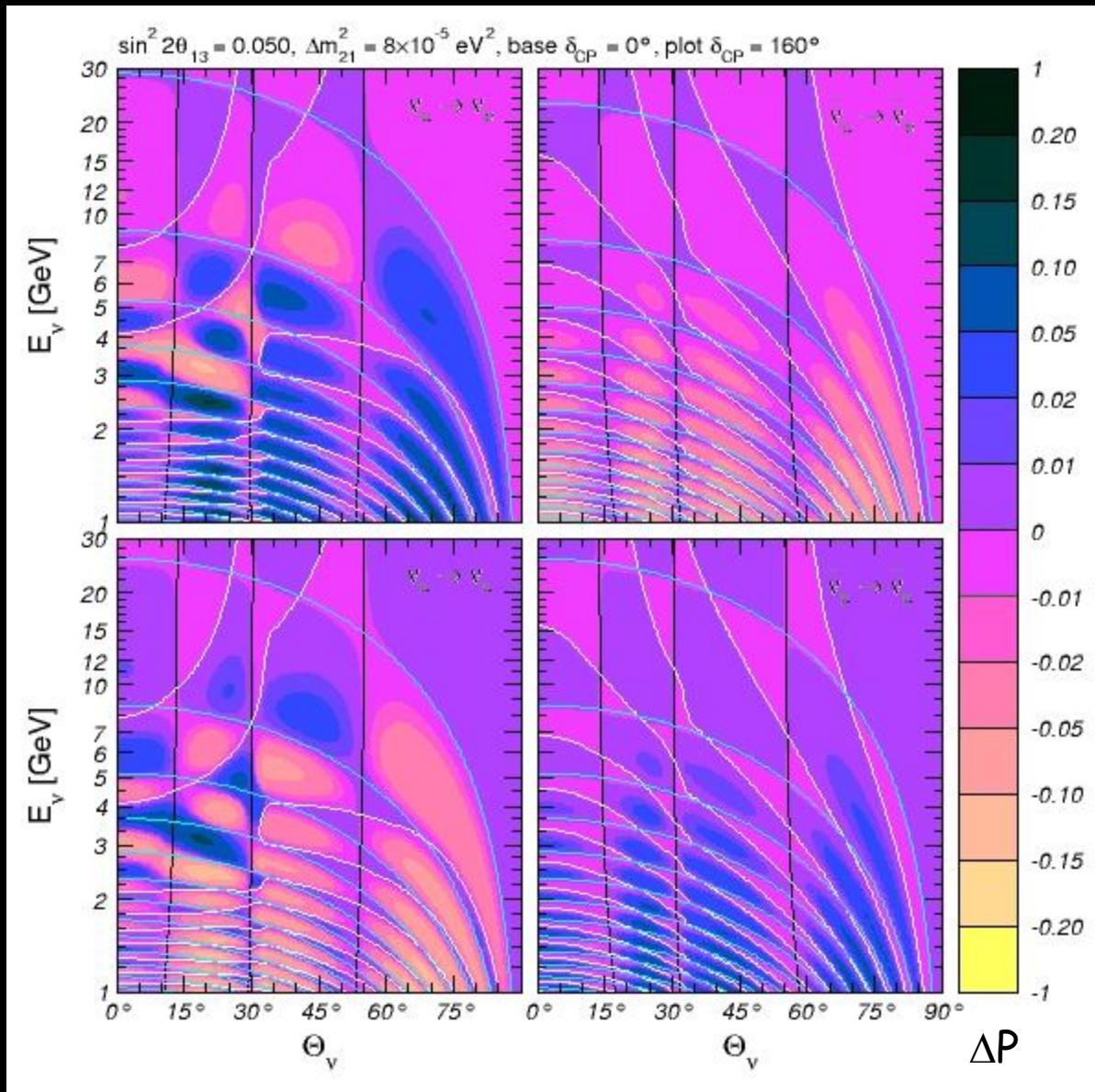


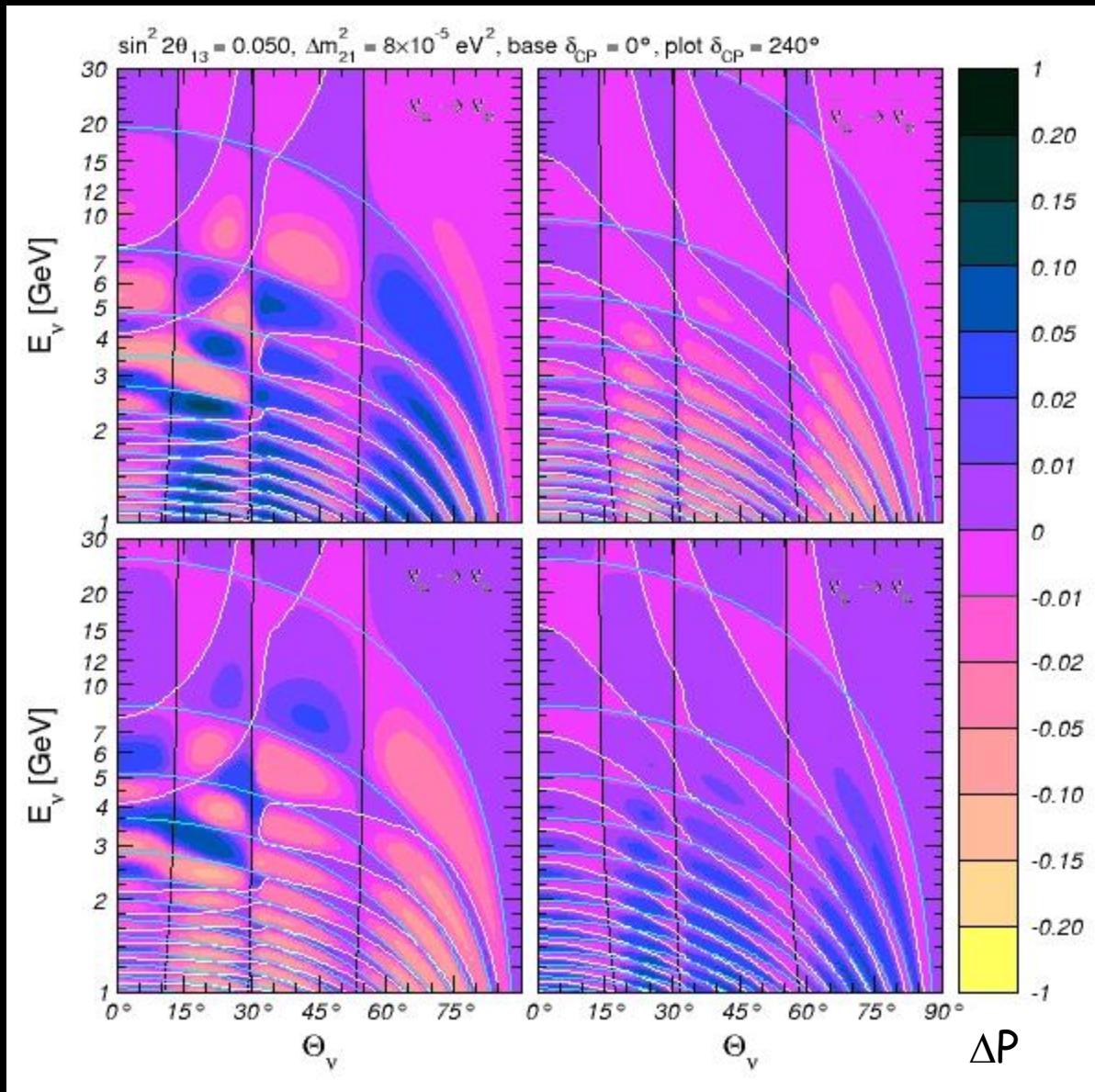
Grids do not  
change with  $\delta$

## Black: solar

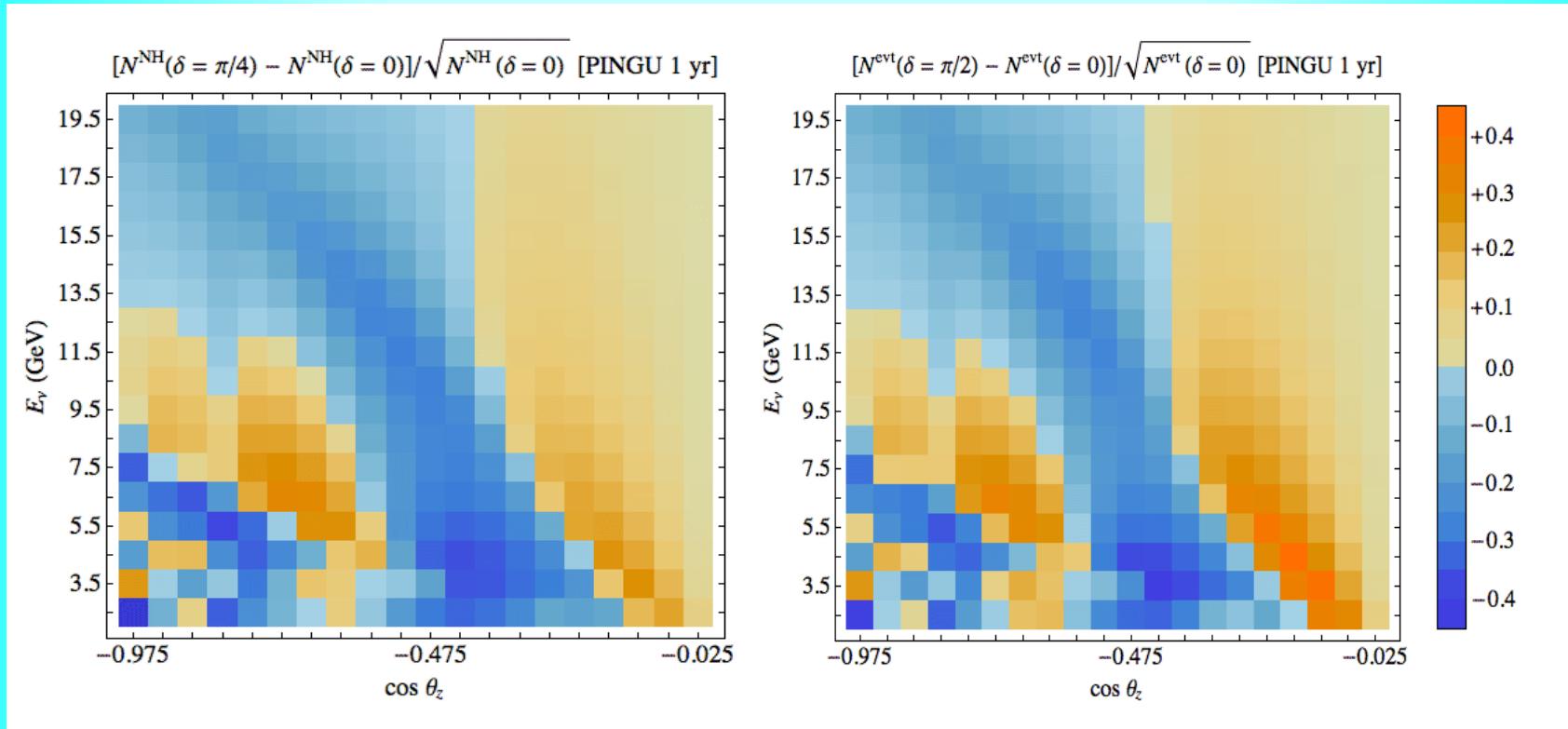
## White: atmospheric







# CP asymmetry



# Summary

All three mixing angles are measured

Determination of 1-3 mixing (which turns out to be not very small)  
→ strong impact on phenomenology , theory and future experimental programs

Indications of significant deviation of the 2-3 mixing from maximal  
→ important implications for theory

Next step: determination of neutrino mass hierarchy and CP-violating phase

Studies of atmospheric neutrinos with huge (multi-megaton scale detectors) can play crucial role

Establishing the absolute neutrino mass scale, and Majorana nature → among main goals